

# APPROXIMATION AND MODELING WITH B-SPLINES

Problem Collection

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**Part I**  
**Problems**

# 1 Polynomials

## 1.1 Monomial Form

### Problem 1.1.1 Nested Multiplication for Hypergeometric Sums

Describe an algorithm for computing

$$\sum_{k=0}^n \frac{(a)_k (b)_k}{(1)_k (c)_k} x^k$$

with  $(t)_\ell = t(t+1)\cdots(t+\ell-1)$  the Pochhammer symbol. How many operations are needed?

**Answer**

operations:   $n$

### Problem 1.1.2 Polynomial Division via Nested Multiplication

Show that nested multiplication can be used to divide a polynomial  $p_n x^n + \cdots + p_0$  by a linear factor  $(x - a)$ . The coefficients of the quotient with remainder,

$$q_n x^{n-1} + \cdots + q_1 + \frac{q_0}{x - a},$$

are generated via the recursion

$$q_k = p_k + q_{k+1}a, \quad k = n - 1, \dots, 0,$$

starting with  $q_n = p_n$ .

**Answer**

$q_0$  for  $p(x) = x^4 - 5x^3 + 6x - 1$  and  $a = 2$ :

### Problem 1.1.3 Program: Differentiation of a Continued Fraction Recursion

The recursion

$$r_1 = a_1, \quad r_k = x + a_k/r_{k-1}, \quad k = 2, \dots, n,$$

defines a rational function  $r$ . Write a program `[r,dr] = continued_fraction(a,x)` which computes  $r(x)$  and  $r'(x)$ .

**Answer**

$\lim_{n \rightarrow \infty} r(x)$  for  $a_k = 1$  and  $x = 2$ :

## 1.2 Taylor Approximation

### Problem 1.2.1 Error of the Taylor Polynomial for the Logarithm

Determine an upper bound for the error of the Taylor polynomial of degree  $\leq n$  at  $x_0 = 10$  for the natural logarithm on the interval  $[10, 11]$ .

**Answer**

bound for  $n = 4$ :

### Problem 1.2.2 Table of the Exponential via Taylor Approximation

How small should  $h$  be chosen in order to approximate  $\exp(x)$ ,  $0 \leq x \leq 1$ , from tabulated values at the points  $h/2, 3h/2, 5h/2, \dots, 1 - h/2$  by quadratic Taylor polynomials with error  $\leq 10^{-12}$ ?

**Answer**

$h \leq$   (based on the estimate for the Taylor remainder)

**Problem 1.2.3 Cubic Taylor Approximation of a Differential Equation**

Approximate the solution  $y(x)$  of the initial value problem

$$y' = 3x + 2/y, \quad y(0) = 1,$$

at  $x = 1/10$  with the aid of a cubic Taylor polynomial.

**Answer**

$$y(0.1) \approx \boxed{\phantom{0.000}}$$

**1.3 Interpolation****Problem 1.3.1 Quadratic Interpolation of Inaccurate Data**

Estimate  $f(0)$  by interpolating the data

$x$	1	2	3
$f(x)$	-1	0	4

with a quadratic polynomial  $p$ . In the worst case, by how much can  $p(0)$  differ if an inaccurate value of  $f(3)$  with relative error  $\leq 5\%$  is interpolated?

**Answer**

maximal difference:

**Problem 1.3.2 Numerical Differentiation via Quadratic Interpolation**

Derive an approximation

$$f'(0) \approx w_0 f(0) + w_1 f(h) + w_2 f(2h)$$

via quadratic interpolation.

**Answer**

$f'(0)$  for  $h = 1/2$  and  $f(x) = \sin(\pi x)$ :

**Problem 1.3.3 4-Point Scheme**

If the 4-point scheme is applied to nonperiodic data, for the first and last midpoints different formulas are needed. Determine the weights for the appropriate approximation

$$f_{1/2} \approx \alpha f_0 + \beta f_1 + \gamma f_2 + \delta f_3.$$

**Answer**

maximum of the weights  $\alpha, \beta, \gamma, \delta$ :

**Problem 1.3.4 Program: Monomial Form of an Interpolating Polynomial**

Write a program `c = aitken_neville(x, f)` which generates the coefficients of an interpolating polynomial via the Aitken–Neville scheme.

**Answer**

smallest coefficient of the interpolating polynomial to  $(k, \exp(k))$ ,  $k = 0, \dots, 4$ :

**Problem 1.3.5 Program: Error of Uniform and Tschebyscheff Interpolation for Runge's Function**

Write a program `e = runge(n)` which compares the errors of the polynomial interpolants of degree  $n$  at uniform ( $e_1$ ) and Tschebyscheff ( $e_2$ ) points in  $[-1, 1]$  for the function  $f(x) = 1/(1 + 25x^2)$ .

**Answer**

maximum of the errors for  $n = 8$ :

## 1.4 Bernstein Polynomials

### Problem 1.4.1 Conversion of a Quartic Polynomial to Bernstein Form

Write the polynomial

$$x \mapsto 3x^2 - 2x^3 - x^4$$

as linear combination of quartic Bernstein polynomials.

**Answer**

sum of the Bernstein coefficients:

### Problem 1.4.2 Scalar Product of Bernstein Polynomials

Derive a formula for the scalar product

$$\int_0^1 b_k^n(x) b_j^m(x) dx$$

of two Bernstein polynomials.

**Answer**

$\int_0^1 b_3^5 b_2^4$ :

## 1.5 Properties of Bernstein Polynomials

### Problem 1.5.1 Integrals of Bernstein Polynomials

Compute

$$\text{a) } \int_0^1 4b_1^3(t) - 5b_2^3(t) dt, \quad \text{b) } \int_0^x b_2^3(t) dt.$$

**Answer**

a)       b) value for  $x = 1$ :

### Problem 1.5.2 Positivity of a Polynomial in Bernstein Form

Show by example that the positivity of a polynomial on the interval  $[0, 1]$  does not imply the positivity of its Bernstein coefficients.

## 1.6 Hermite Interpolant

### Problem 1.6.1 Hermite Interpolation of the Cosine

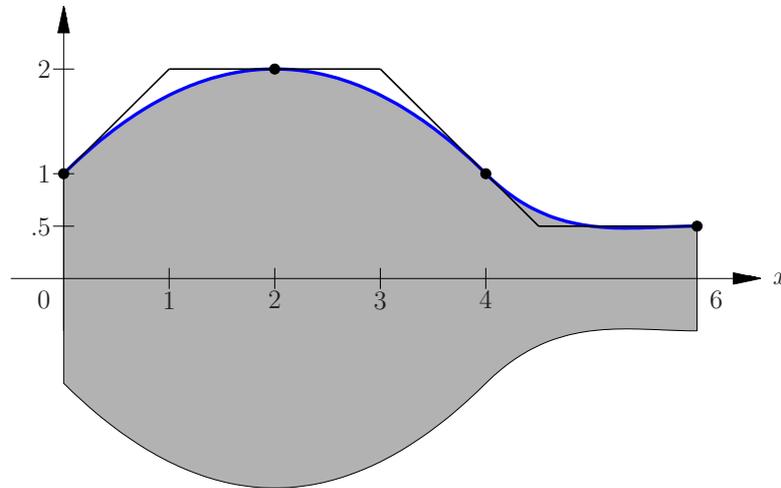
Interpolate the values and derivatives of  $\cos(\pi x)$  at the points  $x = 0, 1$  by a cubic polynomial  $p$  in Bernstein form. Compute the error of the derivatives  $p^{(k)}(1/2)$ ,  $k = 0, 1, 2, 3$ .

**Answer**

error of  $p'(1/2)$ :

**Problem 1.6.2 Hermite Representation and Volume of a Wine Bottle**

Represent the contour of the wine bottle, depicted in the figure, as a Hermite spline and compute its volume.



**Answer**

volume:

**Problem 1.6.3 Approximation of a Square Root from Hermite Data**

Determine an approximation to  $\sqrt{x}$  for  $x = 2$  from Hermite data at  $x = 1, 4$ .

**Answer**

approximation for  $\sqrt{2}$ :

**1.7 Approximation of Continuous Functions****Problem 1.7.1 Accuracy of the Bernstein Approximation**

Determine the accuracy of the Bernstein approximation

$$f(x) \approx p_n(x) = \sum_{k=0}^n f(k/n) b_k^n(x)$$

numerically. To this end write a program `[ea,eb,ec] = accuracy_bernstein(degrees)` which, for the functions

$$\text{a) } f(x) = |2x - 1|, \quad \text{b) } f(x) = \sqrt{x}, \quad \text{c) } f(x) = \exp(x),$$

computes and plots the errors  $e_n = \max_{x \in [0,1]} |f(x) - p_n(x)|$  for a sequence of degrees  $n$ .

**Answer**

smallest of the errors for  $n = 1, \dots, 8$ , computed at  $x = 0, 0.01, 0.02, \dots, 1$ :

**Problem 1.7.2 Convergence of Derivatives of the Bernstein Approximation**

Show that, for a smooth function  $f$ , the derivatives of the Bernstein approximations

$$p_n = \sum_{k=0}^n f(k/n) b_k^n$$

converge to  $f'$ .

## 2 Bézier Curves

### 2.1 Control Polygon

#### Problem 2.1.1 Vertex and Symmetry Axis of a Parabola

Determine the vertex and the symmetry axis of the parabola parametrized by

$$p = (1, 0) b_1^2 + (0, 2) b_2^2.$$

**Answer**

axis of symmetry:  $\parallel ( \boxed{\phantom{00}}, 1 )$

#### Problem 2.1.2 Nonexistence of Polynomial Parametrizations for a Circle

Show that a circular arc cannot be represented as a (polynomial) Bézier curve.

### 2.2 Properties of Bézier Curves

#### Problem 2.2.1 Tangent for a Bézier Curve with Multiple Control Points

Show that, for a Bézier curve with a multiple control point

$$c_0 = c_1 = \cdots = c_{k-1} \neq c_k,$$

the tangent at the left endpoint is parallel to  $c_k - c_0$ . Does the curve, in general, possess a smooth regular parametrization?

**Answer**

number of continuous derivatives of a regular parametrization  $q$  ( $|q'(0)| \neq 0$ ):  $\boxed{\phantom{00}}$

#### Problem 2.2.2 Cubic Bézier Approximation of a Semi-Circle

Determine the control points of a cubic Bézier curve  $p$  which touches a semi-circle at the points

$$(-1, 0), (0, 1), (1, 0),$$

and compute the maximal deviation of  $|p(t)|$  from the radius 1.

**Answer**

$\max_{0 \leq t \leq 1} ||p(t)| - 1|$ :  $\boxed{\phantom{00}}$

### 2.3 Algorithm of de Casteljau

#### Problem 2.3.1 Evaluation of a Cubic Bézier Curve with de Casteljau's Algorithm

Evaluate the cubic Bézier curve with control points

$$\begin{pmatrix} 0 & 1 & -1 & 0 \\ 0 & 1 & 1 & 0 \end{pmatrix}^t$$

at  $t = 1/3$  with de Casteljau's algorithm.

**Answer**

smallest coordinate:  $\boxed{\phantom{00}}$

**Problem 2.3.2 Program: Spherical Version of de Casteljau's Algorithm**

Write a program `p = casteljau_sphere(C,t)` which implements de Casteljau's algorithm for control points on the unit sphere. To this end replace the weights  $t$  and  $1 - t$  by

$$\sin(t\varphi)/\sin(\varphi) \quad \text{and} \quad \sin((1-t)\varphi)/\sin(\varphi),$$

where  $\varphi$  is the angle between adjacent points in the triangular scheme.

**Answer**

largest coordinate of the point generated for  $C = [1, 0, 0; 0, 1, 0; 0, 0, 1; 1, 0, 0]$  and  $t = 1/3$ :

**2.4 Differentiation****Problem 2.4.1 Approximation of the Exponential Function by a Quadratic Bézier Curve**

Approximate the graph of the exponential function  $C : y = \exp(x)$  by a regular quadratic Bézier curve  $t \mapsto (x(t), y(t))$  near  $x = 0$ , i.e., determine the Taylor coefficients of  $x$  and  $y$  so that

$$y(t) - \exp(x(t)) = O(t^m)$$

with  $m$  as large as possible. Compare with the accuracy of the quadratic Taylor polynomial  $x \mapsto y = 1 + x + x^2/2$ .

**Answer**

maximal order  $m$ :

**Problem 2.4.2 Program: Distance from a Bézier Curve**

Write a program `[d,p] = distance_bezier(C,q)` which computes the distance  $d$  of a point  $q$  from a Bézier curve with control points  $C$ . The program also returns the closest point to  $q$  on the curve.

**Answer**

distance of  $(1, 1)$  from the Bézier curve with control points  $(0, 1), (0, 0), (2, 0)$ :

**2.5 Curvature****Problem 2.5.1 Curvature at the Midpoint of a Cubic Bézier Curve**

Compute the curvature of the Bézier curve with control points

$$C = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}^t$$

at the midpoint of the parameter interval.

**Answer**

$\kappa(1/2)$ :

**Problem 2.5.2 Interpolation of an Ellipse with a Cubic Bézier Curve**

Match position and tangent direction of the ellipse  $E : x_1^2/9 + x_2^2/4 = 1$  by a cubic Bézier curve  $p$  at the points  $(\pm 3, 0), (0, 2)$  and compute the curvature of  $p$  at the endpoints.

**Answer**

curvature:

**Problem 2.5.3 Smooth Extension of a Quadratic Bézier Curve**

For the control points

$$C^- = \begin{pmatrix} -1 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}^t$$

determine all control points  $C^+$  for which the corresponding quadratic Bézier curves  $p^\pm$  join with second order contact at  $(0, 0)$ .

**Answer**

$$c_1^+ = (2, 0) \rightsquigarrow c_2^+ = (?, \boxed{\phantom{0}})$$

**Problem 2.5.4 Bézier Curve with Second Order Contact with a Circle**

Determine all regular planar quadratic Bézier curves which have second order contact with the unit circle at their endpoint  $p(0) = (1, 0)$ .

**Answer**

$$c_1 = (?, 2) \rightsquigarrow c_2 = (\boxed{\phantom{0}}, ?)$$

**2.6 Subdivision****Problem 2.6.1 Subdivision, Tangent Direction, and Curvature of a Quadratic Bézier Curve**

Split the Bézier curve with control points

$$C = \begin{pmatrix} 1 & 4 & 7 \\ 0 & 6 & 3 \end{pmatrix}^t$$

and parametrization  $t \mapsto p(t)$  at  $t = 2/3$  and determine the tangent direction and curvature at  $p(2/3)$ .

**Answer**

curvature:

**Problem 2.6.2 Program: Extension of Bézier Curves via an Inversion of de Casteljau's Algorithm**

Explain how to invert de Casteljau's subdivision scheme

$$p \mapsto (p_{\text{left}}, p_{\text{right}}),$$

i.e., how to determine  $p$  from  $p_{\text{left}}$ . Write a program `D = bezier_extension(C,s)` which computes the control points  $d_k$  of the extension of the Bézier curve with control points  $c_k$  to the interval  $[0, s]$ ,  $s > 1$ .

**Answer**

largest coordinate of  $d_k$  for  $s = 4$  and  $C = [0\ 2; 1\ 5; 3\ 6]$ :

**Problem 2.6.3 Reduction of the Edge Length of the Control Polygon by de Casteljau's Algorithm**

Show that the maximal edge length of the control polygon of a Bézier curve is reduced by at least a factor  $1/2$  by subdivision at the midpoint.

## 2.7 Geometric Hermite Interpolation

### Problem 2.7.1 Geometric Hermite Interpolation of an Ellipse

Approximate the right half of the ellipse

$$E : x_1^2 + x_2^2/4 = 1$$

by a cubic Bézier curve via geometric Hermite interpolation.

**Answer**

first coordinate of the middle control points  $c_1$  and  $c_2$ :

### 3 Rational Bézier Curves

#### 3.1 Control Polygon and Weights

**Problem 3.1.1 Interpolation with a Rational Quadratic Bézier Curve**

Show that, with an appropriate choice of the weights, a rational quadratic Bézier curve can interpolate any point in the interior of the triangle formed by its control points. Determine, in particular, weights for the curve which passes through the center  $(c_0 + c_1 + c_2)/3$  of the control polygon.

**Answer**

middle weight for a parametrization in standard form:

**Problem 3.1.2 Limit for Movement of Weight Points**

Determine the limit of a point  $r(t)$ ,  $t \in (0, 1)$ , on a rational Bézier curve as a weight point  $d_k$  is moved towards the control point

$$\text{a) } c_{k-1}, \quad \text{b) } c_k$$

and the position of the other weight points is kept fixed.

Consider the example

$$C = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ \hline 1 & 2 & 3 & 2 & 1 \end{pmatrix}^t$$

and  $k = 3$ ,  $t = 2/3$ .

**Answer**

largest coordinate of the limit point for the example: a) , b)

**Problem 3.1.3 Limit and Curve Type for Movement of Weight Points**

Determine the limit of the point  $r(1/3)$  for a rational Bézier parametrization  $r$  with control points  $(1, 0)$ ,  $(0, 0)$ ,  $(0, 1)$  and weight points  $(\alpha, 0)$ ,  $(0, \alpha)$  as

$$\text{a) } \alpha \rightarrow 0 \quad \text{b) } \alpha \rightarrow 1 .$$

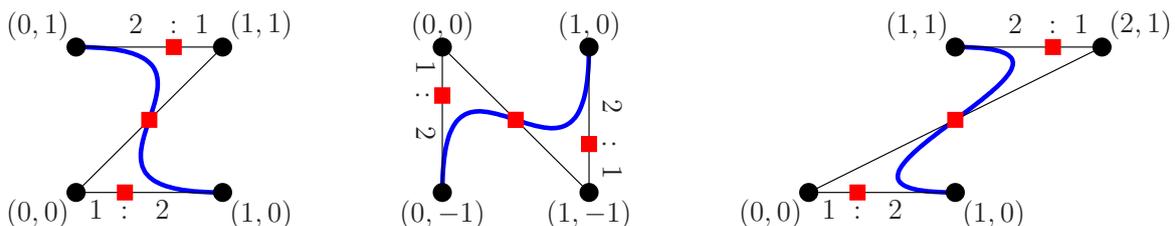
Determine also the type of the corresponding conic section, depending on  $\alpha$ .

**Answer**

largest coordinate of the limit for  $\alpha \rightarrow 1$ :

**Problem 3.1.4 Determination of Curve Points via Affine Invariance**

Determine the points  $r(1/3)$  for the three rational Bézier curves  $r$  with control and weight points shown in the figure.



**Answer**

largest coordinate of the three points:

### 3.2 Basic Properties

#### Problem 3.2.1 Rational Cubic Parametrizations of a Semi-Circle

Determine a rational cubic parametrization of a semi-circle with weights in standard form.

**Answer**

middle weights:

#### Problem 3.2.2 Limit of a Rational Bézier Curve as Two Weights Approach Infinity

Determine the limit of a point  $r(t)$  of a rational Bézier curve as the weights of two inner control points tend to infinity with the same rate ( $w_j = w_k = \lambda \rightarrow \infty$ ) and the other weights remain fixed. Consider

$$C = \begin{pmatrix} 1 & 3 & 0 & 0 \\ 0 & 0 & 3 & 1 \end{pmatrix}^t, \quad j = 1, \quad k = 2$$

and  $t = 1/3$  as a concrete example.

**Answer**

largest coordinate of the limit point:

#### Problem 3.2.3 Rational Parametrization of a Polynomial Bézier Curve

Show that a rational Bézier parametrization with weights  $\gamma^k$ ,  $\gamma \in (0, \infty)$ , describes a polynomial curve.

#### Problem 3.2.4 Rational Quadratic Bézier Curve with an Infinite Control Point

For the parametrization

$$t \mapsto r(t) = \frac{c_0 w_0 b_0^2(t) + d b_1^2(t) + c_2 w_2 b_2^2(t)}{w_0 b_0^2(t) + w_2 b_2^2(t)}, \quad t \in [0, 1],$$

$d$  is regarded as an infinite control point. Justify this terminology by considering  $r$  as the limit of standard rational Bézier parametrizations. Moreover, compute the tangent vectors at the endpoints.

**Answer**

largest component of the tangent vectors for  $c_0 = (0, 0)$ ,  $d = (0, 1)$ ,  $c_2 = (1, 0)$  and  $w_0 = 1$ ,  $w_2 = 2$ :

### 3.3 Algorithms

#### Problem 3.3.1 Subdivision of a Rational Bézier Curve

Subdivide the rational Bézier curve with

$$(C | w) = \left( \begin{array}{cc|c} 5 & 7 & 3 \\ 0 & 2 & 9 \\ 8 & 10 & 6 \end{array} \right)$$

at the parameter  $t = 1/3$ .

**Answer**

largest coordinate of the common control point:

#### Problem 3.3.2 Program: Offsets of a Rational Bézier Curve

Write a program `[r1,r2] = offset_curve(C,w,d,t)` which evaluates the offset curves with distance  $d$  (parallel curves with a prescribed distance) of a planar rational Bézier curve with control points  $C$  and weights  $w$  at the parameters  $t$ , ignoring self-intersections.

**Problem 3.3.3 Evaluation and Standard Form of a Rational Bézier Curve**

Evaluate the rational quadratic Bézier curve with

$$(C | w) = \left( \begin{array}{cc|c} 0 & 2 & 3 \\ 2 & 0 & 1 \\ 2 & 2 & 3 \end{array} \right)$$

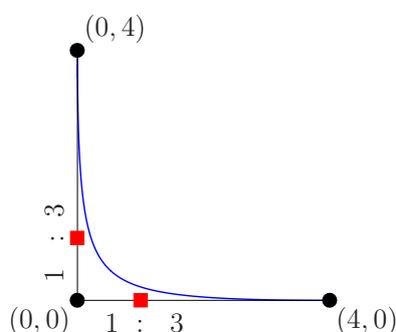
at the midpoint of the parameter interval via de Casteljau's algorithm. Determine the standard weights and the type (ellipse, parabola, or hyperbola) of the curve.

**Answer**

largest coordinate of the curve midpoint:

**Problem 3.3.4 Weight Points and de Casteljau's Scheme for a Rational Quadratic Bézier Curve**

The figure shows a control polygon with weight points for a rational Bézier curve  $r$ .



Determine weights for  $r$  and compute  $r(1/2)$ . Is the curve part of an ellipse or of a hyperbola?

**Answer**

largest coordinate of  $r(1/2)$ :

**3.4 Conic Sections****Problem 3.4.1 Distinction Between an Ellipse and a Hyperbola in Terms of Their Control Points**

Show that a rational quadratic Bézier curve, which parametrizes an ellipse (hyperbola), intersects the line segment from the control point  $c_1$  to  $(c_0 + c_2)/2$  after (before) the midpoint.

**Problem 3.4.2 Parametrization of a Segment of a Hyperbola**

Determine a rational quadratic parametrization of the segment of a hyperbola, defined implicitly by  $xy = 1$ ,  $0 < x, y \leq a$ .

**Answer**

middle weight of a standard parametrization for  $a = 2$ :

**Problem 3.4.3 Program: Matrix Representation of a Rational Quadratic Bézier Curve**

Write a program  $A = \text{bezier\_quadric}(C, w)$  which determines the matrix representation

$$v^t A v = 0, \quad v = (p_1, p_2 | q)^t$$

of a rational quadratic Bézier parametrization  $(p_1/q, p_2/q)$  with control points  $C$  and weights  $w$ .

**Answer**

$\max_{j,k} |a_{j,k}| / \min_{j,k} |a_{j,k}|$  for  $C = [10; 00; 01]$  and  $w = [1; 1/2; 1]$ :

**Problem 3.4.4 Implicit Form of a Rational Quadratic Bézier Curve**

Determine the implicit form of the conic section corresponding to the rational quadratic Bézier curve with

$$(C|w) = \left( \begin{array}{cc|c} 1 & 0 & 1 \\ 0 & 0 & 1/2 \\ 0 & 2 & 1 \end{array} \right).$$

**Answer**

quotient of the coefficients of  $x^2$  and  $y^2$  in the implicit equation:

**Problem 3.4.5 Parametrizations of a Hyperbola**

Determine all rational quadratic parametrizations of the hyperbola  $Q : x_1x_2 = 1$ .

## 4 B-Splines

### 4.1 Recurrence Relation

#### Problem 4.1.1 Values of a Cubic B-spline

Evaluate the B-spline with the knots  $0, 1, 3, 3, 4$  at the integers.

**Answer**

largest of the computed values:

#### Problem 4.1.2 Values and Derivatives of Quadratic and Cubic B-splines

Compute the values at the points 1 and 2 for the two quadratic B-splines  $b_{k,\xi}^2$  corresponding to the knot sequence  $\xi_0 = 0, 1, 2, 2, 4 = \xi_4$ . Moreover, determine the values and first derivatives of  $b_{0,\xi}^3$  at the same points.

**Answer**

smallest absolute value of the computed results:

#### Problem 4.1.3 Values and Derivatives of a Quadratic B-spline

For the B-spline  $b$  with knots  $0, 2, 5, 5$ , compute  $b(1), b'(1), b(3), b'(3)$ .

**Answer**

largest of the computed results:

#### Problem 4.1.4 Polynomial Segments of Quadratic and Quartic B-Splines

Determine the polynomial segments of the B-splines with the knot vectors

$$\text{a) } (0, 0, 1, 1), \quad \text{b) } (0, 1, 1, 2), \quad \text{c) } (0, 0, 1, 1, 2, 2) .$$

**Answer**

values of the B-splines at  $x = 1/2$ : a) , b) , c)

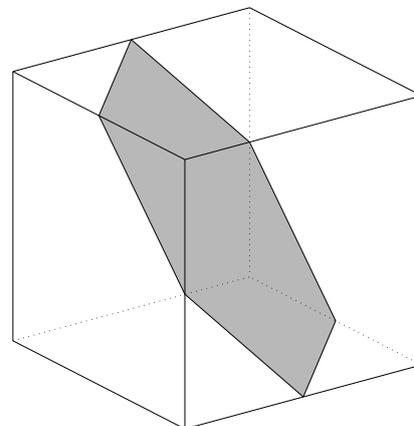
#### Problem 4.1.5 Program: B-Splines with Support on a Common Knot Interval

Write a program `p = bspline_polynomials(xi)` which determines for all B-splines  $b_{k,\xi}^n$  corresponding to a knot sequence  $\xi_0, \dots, \xi_{2n+1}$  the monomial form  $p_{k,0} + p_{k,1}x + \dots + p_{k,n}x^n$  of the polynomial segments on the common knot interval  $[\xi_n, \xi_{n+1}]$ .

**Answer**

largest coefficient of the monomials  $x^k$  for the knots  $0, 1, 3, 6, 10, 15$ :

#### Problem 4.1.6 B-Spline, defined via Cross Sections of a Cube



Denote by  $b(t)$  the area of the intersection of the plane  $H : x + y + z = t$  with the unit cube  $[0, 1]^3$ . Show that  $b$  is a multiple of a B-spline.

**Answer**

quotient of  $b$  and the appropriate B-spline:

#### Problem 4.1.7 Integral of a Uniform B-Spline

Show that  $\int_{\mathbb{R}} b^n = 1$ .

## 4.2 Differentiation

### Problem 4.2.1 Third Derivative of a Cubic B-Spline

Determine the third derivative of the B-spline with knots 0, 1, 3, 6, 10.

**Answer**

largest value of the third derivative:

### Problem 4.2.2 Program: Highest Derivatives of a B-spline

Write a program `d = bspline_derivatives(xi)` which computes the  $n$ -th order derivatives of a B-spline of degree  $n$ .

**Answer**

sum of the derivatives for  $\xi = (1, 2, 2, 3, 3, 3)$ :

## 4.3 Representation of Polynomials

### Problem 4.3.1 Spline with Polynomial Coefficients

Which polynomial  $p$  does the spline

$$\sum_{k \in \mathbb{Z}} k^2 b^2(x - k)$$

represent?

**Answer**

sum of the coefficients of the monomials for  $p$ :

### Problem 4.3.2 Marsden's Identity for Bernstein Polynomials

Specialize Marsden's identity for the interval  $[0, 1]$  and  $(n + 1)$ -fold knots at 0 and 1. Give a direct proof of the resulting formula for Bernstein polynomials.

**Answer**

largest Marsden coefficient for  $(x - 1/2)^3$ :

### Problem 4.3.3 Program: Representation of Polynomials

Write a program `q = marsden_polynomials(p)` which determines the coefficients  $q(k) = q_1 + q_2 k + \dots$  in the representation of a polynomial  $p$  of degree  $\leq n$  by the B-splines  $b_{k,\xi}^n$  with uniform knots  $\xi_k = k \in \mathbb{Z}$ .

**Answer**

largest monomial coefficient  $q_k$  for  $p(x) = 1 + x + \dots + x^5$ :

## 4.4 Splines

### Problem 4.4.1 Basis of Truncated Powers for Simple Knots

Show: For simple knots  $\xi_0, \dots, \xi_{m+n}$ , the monomials and the so-called truncated powers,

$$1, x, \dots, x^n, \quad \varphi_k(x) = (\max(0, x - \xi_k))^n, \quad k = n + 1, \dots, m - 1,$$

form a basis for the spline space  $S_\xi^n$ .

## 4.5 Evaluation and Differentiation

### Problem 4.5.1 Evaluation of a Cubic Spline

Evaluate the cubic spline  $p$  with coefficients  $c = (2, -4, 5, 5, 2, -2)$  and knots  $\xi = (0, 1, 1, 1, 2, 2, 4, 5, 6, 7)$  at the points  $x = 1, 2, 3, 4$ .

**Answer**

$p(1) + \dots + p(4)$ :

**Problem 4.5.2 Program: Integrating a Spline**

Write a program `[d,eta] = spline_integrate(c,xi)` which determines B-spline coefficients  $d_k$  and a knot sequence  $\eta$  of an indefinite integral of a spline with coefficients  $c_k$  and knots  $\xi_\ell$ .

**Answer**

$\max_k d_k - \min_k d_k$  for a cubic spline with  $\xi_k = 2^k$  and  $c_k = \xi_k$ ,  $k = 0, \dots, 5$ :

**Problem 4.5.3 Values of a Uniform Cubic Spline at the Knots**

Derive a formula for the values of a uniform cubic spline at the knots.

**Answer**

absolute value of the spline at a knot for  $c_k = (-1)^k$ :

**Problem 4.5.4 Program: B-Spline Coefficients and Knots of a Cubic Spline from Hermite Data**

Write a program `[c,xi] = spline_hermite(x,p,d)` which determines the B-spline coefficients  $c_k$  and a knot sequence  $\xi$  of a cubic spline from the values  $p_k$  and the derivatives  $d_k$  at the double knots  $x_k$ .

**Answer**

largest coefficient  $c_k$  for  $x_k = p_k = d_k = k^2$ ,  $k = 0, \dots, 5$ :

**Problem 4.5.5 Integral of a B-spline**

Show that

$$\int_{\mathbb{R}} b_{k,\xi}^n = \frac{\xi_{k+n+1} - \xi_k}{n+1}.$$

**Problem 4.5.6 Program: Hermite Data from B-Spline Coefficients**

Write a program `[p,dp] = hermite_data(c,xi)` which computes the Hermite data  $p(\xi_\ell)$ ,  $p'(\xi_\ell)$  from the B-spline coefficients  $c$  of a cubic spline  $p \in S_\xi^3$  with double knots.

**Answer**

largest value of  $p(\xi_\ell)$  and  $p'(\xi_\ell)$  for  $\xi_{2k} = \xi_{2k+1} = k^2$  and  $c_k = (-1)^k$ ,  $k = 0, \dots, 5$ :

**Problem 4.5.7 Differentiation of a Cubic Spline**

Compute the B-spline coefficients of the first and second derivative of the cubic spline with

$$\xi_0 = 0, 1, 1, 1, 2, 2, 4, 7, 8, 9, 10 = \xi_{10}, \quad c_0 = 0, 3, 5, 9, 9, -1, -6 = c_6,$$

on the parameter interval  $D_\xi^3 = [1, 7]$ .

**Answer**

smallest B-spline coefficient of the derivatives:

**Problem 4.5.8 Values and Derivatives of a Quadratic Spline**

Compute the values and the derivatives of the quadratic spline with knot sequence  $\xi_0 = 0, 1, 1, 2, 4, 4, 5 = \xi_6$  and coefficients  $c_0 = 7$ ,  $c_1 = 3$ ,  $c_2 = 6$ ,  $c_3 = 8$  at  $x = 2$  and  $x = 3$ .

**Answer**

largest of the computed values:

**4.6 Periodic Splines****Problem 4.6.1 Periodic Extension by a Quadratic Spline**

Determine the 3-periodic quadratic spline  $p$  with simple integer knots  $\xi_k = k$  with  $p(x) = x$  for  $x \in [0, 1]$ .

**Answer**

B-spline coefficient  $c_{100}$ :

**Problem 4.6.2 Periodic Extension by a Cubic Spline**

For which  $\alpha$  does the polynomial

$$p(x) = x^3 - \alpha x, \quad x \in [0, 1],$$

have a 3-periodic extension by a cubic spline with uniform integer knots and what are the B-spline coefficients of  $p$ ?

**Answer**

$\alpha$ :

## 5 Approximation

### 5.1 Schoenberg's Scheme

#### Problem 5.1.1 Error of the Derivative for Schoenberg's Scheme

Derive the estimate

$$\max_x |f'(x) - (Qf)'(x)| \leq h \max_y |f''(y)|, \quad h = \max_{\xi_k \leq x \leq \xi_{k+n}} |\xi_{k+n} - \xi_k|,$$

for Schoenberg's scheme of degree  $n$ .

#### Problem 5.1.2 Approximation of Fractional Powers with Schoenberg's Scheme

Construct knot sequences  $\xi_0, \dots, \xi_{m+2}$ , for which Schoenberg's scheme with quadratic splines approximates the function  $x^\alpha$  for a given exponent  $\alpha \in (0, 1) \cup (1, 2)$  on the interval  $[0, 1]$  with error less than  $c(\alpha)m^{-2}$ .

**Answer**

exponent  $\beta(\alpha)$  for the ansatz  $\xi_\ell = ((\ell - 2)/(m - 2))^\beta$  and  $\alpha = 3/2$ :

#### Problem 5.1.3 Error of Schoenberg's Approximation Applied to the Standard Parabola

Determine the error of Schoenberg's approximation,

$$f \approx Qf = \sum_k f(\xi_k^n) b_{k,\xi}^n,$$

to the function  $f(x) = x^2$  for quadratic splines with uniform knots  $\xi_k = kh$ ,  $k \in \mathbb{Z}$ .

**Answer**

absolute value of the error:   $h^2$

#### Problem 5.1.4 Error of Schoenberg's Scheme for the Exponential Function

Show that the relative error of Schoenberg's approximation with uniform knots  $kh$ ,  $k \in \mathbb{Z}$ , of the exponential function is  $\geq ch^2$ , where  $c$  is a positive constant, depending on the degree  $n$ .

**Answer**

largest constant  $c$  for  $n = 2$ :

#### Problem 5.1.5 Convergence of Schoenberg's Scheme for Continuous Functions

Show that, for a bi-infinite knot sequence  $\xi$  and a continuous function  $f$ , Schoenberg's approximation,

$$f \approx Qf = \sum_k f(\xi_k^n) b_{k,\xi}^n,$$

converges for any  $x \in \mathbb{R}$ , as the maximal length  $h$  of the knot intervals tends to 0.

### 5.2 Quasi-Interpolation

#### Problem 5.2.1 Quasi-Interpolant for Linear Splines

Construct functionals of the form

$$Q_k f = \alpha_k f(\eta_k) + \beta_k f(\eta_{k+1}), \quad \eta_k = (\xi_k + \xi_{k+1})/2,$$

for a quasi-interpolant with linear splines.

**Answer**

$\alpha_k$  for  $\xi_k = 2^k$ :

**Problem 5.2.2 Functionals for a Quasi-Interpolant for Quadratic Bernstein Polynomials**

Construct a quasi-interpolant for quadratic B-splines corresponding to the knot sequence  $\xi_0 = -1, 0, 0, 1, 1, 2 = \xi_5$  with functionals of the form

$$Q_k f = \alpha_k f(0) + \beta_k f(1/2) + \gamma_k f(1).$$

**Answer**

largest of the coefficients  $\alpha_k, \beta_k,$  and  $\gamma_k$ :

**Problem 5.2.3 Quasi-Interpolant for Quadratic Splines**

Construct functionals of the form

$$Q_k f = \sum_{\nu=0}^2 w_{k,\nu} f(\eta_{k+\nu}), \quad \eta_k = (\xi_k + \xi_{k+1})/2,$$

for a quasi-interpolant of maximal order with quadratic splines.

**Answer**

largest weight  $w_{0,\nu}$  for  $\xi_0 = 0, 1, 4, 9 = \xi_3$ :

**Problem 5.2.4 Quasi-Interpolant for Uniform Quadratic Splines**

Construct functionals of the form

$$Q_k f = \alpha f(k) + \beta f(k + 3/2) + \gamma f(k + 3)$$

for a quasi-interpolant with uniform quadratic B-splines  $b_{k,\xi}^2, \xi_k = k \in \mathbb{Z}$ . Compute  $Q_k b_{\ell,\xi}^2$  and explain why  $f \mapsto \sum_k (Q_k f) b_{k,\xi}^2$  is not a projector.

**Answer**

$\alpha$ :

**Problem 5.2.5 Quasi-Interpolant for Uniform Cubic Splines with Double Knots**

Determine quasi-interpolant functionals of the form

$$Q_{2k+\nu} f = \sum_{\mu=0}^4 w_{\nu,\mu} f(kh + \mu h/2)$$

for cubic splines with double knots  $\xi_{2k+\nu} = kh, k \in \mathbb{Z}, \nu \in \{0, 1\}$ .

**Answer**

largest entry of  $(w_{2k,0}, \dots, w_{2k,4})$  for the choice  $w_{2k,4} = 0$ :

**Problem 5.2.6 Program: Quasi-Interpolant for Uniform Splines Based on Values at Knots**

Write a program `w = quasi_interpolant(n)` which computes the coefficients  $w_\nu$  with minimal norm  $(\sum_\nu w_\nu^2)^{1/2}$  of functionals

$$Q_k f = \sum_{\nu=0}^{n+1} w_\nu f(\xi_{k+\nu})$$

for a quasi-interpolant of maximal order with splines of degree  $\leq n$  with uniform knots  $\xi_\ell = \ell h$ .

**Answer**

largest coefficient  $w_\nu$  for  $n = 4$ :

### 5.3 Accuracy of Quasi-Interpolation

#### Problem 5.3.1 Program: Adaptive Quasi-Interpolation with Quadratic Splines

Write a program `[c,xi] = adaptive(f,tol)` which implements adaptive knot insertion to approximate a function  $f$  on  $[0, 1]$  by a quadratic spline  $\sum_k c_k b_{k,\xi}^2$  with estimated error less than a given tolerance  $\text{tol}$  by quadratic quasi-interpolation. Start with the knot sequence  $\xi : -0.1, 0, 0, 0.1, \dots, 0.9, 1, 1, 1.1$ , use the standard projector with functionals

$$Q_k f = -f(\xi_{k+1})/2 + 2f(\eta_k) - f(\xi_{k+2})/2, \quad \eta_k = (\xi_{k+1} + \xi_{k+2})/2,$$

and add points  $\eta_k$ , where the error is  $\geq \text{tol}$ , as new knots.

#### Answer

length of the final knot sequence for the method outlined in the hint,  $f(x) = \sqrt{x}$ , and  $\text{tol} = 10^{-6}$ :

### 5.4 Stability

No problems for this section.

### 5.5 Interpolation

#### Problem 5.5.1 Failure of Diagonal Dominance for Interpolation with Cubic Splines

Show that the matrix

$$b_{k,\xi}^3(t_j), \quad 1 \leq j, k \leq m,$$

for cubic spline interpolation at the knots  $t_j = \xi_{j+2}$  is, in general, not diagonally dominant.

#### Answer

not diagonally dominant for  $\xi_{j+2} = q^j$  if  $q \geq$

#### Problem 5.5.2 Interpolation Matrix for Cubic Splines for the Not-A-Knot Condition

Determine the interpolation matrix for uniform cubic splines with values assigned at the knots, using the not-a-knot boundary condition.

#### Answer

$\max_\nu |w_\nu| / \min_\nu |w_\nu|$  for the not-a-knot condition  $\sum_\nu w_\nu c_k = 0$ :

#### Problem 5.5.3 Spline Interpolation of High Order Hermite Data

Show that, for a spline  $p$  of degree  $\leq n$  with simple knots  $\xi_k$ ,  $k \in \mathbb{Z}$ , the interpolation problem

$$p^{(j)}(\xi_{nk}) = f^{(j)}(\xi_{nk}), \quad j = 0, \dots, n-1, \quad k \in \mathbb{Z},$$

is uniquely solvable.

#### Problem 5.5.4 Periodic Cubic Lagrange Spline

Determine the 1-periodic cubic Lagrange spline with the knots

$$\xi_k = k/M, \quad k \in \mathbb{Z},$$

which equals 1 at  $\xi_0 = 0$ ,  $\xi_{\pm M} = \pm 1, \dots$

#### Answer

B-spline coefficient  $c_0$  for  $M = 4$ :

## 5.6 Smoothing

### Problem 5.6.1 Characterization of the Natural Spline Interpolant as Orthogonal Projection

Show that the second derivative  $p''$  of the natural spline interpolant of a function  $f$  at the points  $x_0, \dots, x_M$  is the orthogonal projection of  $f''$  with respect to the scalar product

$$\langle \varphi, \psi \rangle = \int_{x_0}^{x_M} \varphi \psi$$

onto piecewise linear functions which vanish at  $x_0$  and  $x_M$ .

### Problem 5.6.2 Slalom Spline

Show that among all functions  $f$  with

$$\alpha_i \leq f(x_i) \leq \beta_i, \quad i = 0, \dots, M,$$

the natural cubic spline with knots at  $x_0 < \dots < x_M$  minimizes  $\int_{x_0}^{x_M} |f''|^2$ .

### Problem 5.6.3 Limit of the Smoothing Spline as a Weight Tends to Infinity

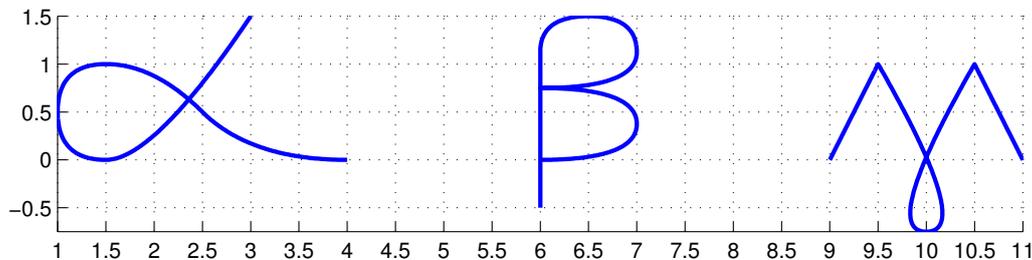
Prove that the smoothing spline tends to  $f_k$  at the knot  $x_k$  if the weight  $w_k$  tends to infinity.

## 6 Spline Curves

### 6.1 Control Polygon

#### Problem 6.1.1 Approximating Greek Letters with Spline Curves

Construct spline curves which describe, qualitatively correct, the Greek letters  $\alpha$ ,  $\beta$ , and  $\gamma$ .



Use as few control points as possible.

#### Problem 6.1.2 Program: Bounding Box Test for Spline Curves

Write a program `x = bounding_box(p,C,n)` which tests with the aid of the bounding boxes if a point  $p$  can lie on a spline curve of degree  $n$  with control points  $C$ .

**Answer**

result (true/false) for  $p = [5, 1/2]$ ,  $C = [1, 1; 2, -1/2; 3, 1/3; 4, -1/4; \dots; 9, 1/9]$ ,  $n = 2$ :

### 6.2 Basic Properties

#### Problem 6.2.1 Distance of a Closed Cubic Spline Curve to its Control Polygon

Estimate the distance in the maximum norm of the closed cubic spline curve  $p$  with uniform knots and control points

$$C = \begin{pmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}^t$$

to its control polygon.

**Answer**

bound provided by the standard estimate:

#### Problem 6.2.2 Distance of a Bézier Curve to its Control Polygon

Specialize the estimate of the distance between a spline curve and its control polygon to the case of Bézier curves.

**Answer**

$$\|p(t) - c(t)\|_\infty \leq \boxed{\phantom{000}} n \max_k \|\Delta^2 c_k\|_\infty \text{ for even } n$$

#### Problem 6.2.3 Distance of a Uniform Spline Curve from a Regular $m$ -Sided Control Polygon

Estimate the distance of the closed spline curves of degree  $\leq n$  with uniform knots and control points

$$c_k = (\cos(2\pi k/m), \sin(2\pi k/m))$$

with  $m \geq 3$  from their control polygons.

**Answer**

$$\|p(t) - c(t)\|_\infty \leq \boxed{\phantom{000}} (n+1)/m^2$$

### 6.3 Refinement

#### Problem 6.3.1 Refinement of a B-Spline

Express the B-spline with knots 0, 1, 2, 4 as a linear combination of standard uniform B-splines.

**Answer**

smallest coefficient of the uniform B-splines:

#### Problem 6.3.2 Knot Insertion for a Spline Curve

For a cubic spline curve with knots  $\tau_k = k$ ,  $k = 0, \dots, 9$ , and control points

$$C = \begin{pmatrix} 0 & 1 & 0 & 2 & 2 & 3 \\ 0 & 0 & 1 & 1 & 0 & 0 \end{pmatrix}^t,$$

insert the knots 3.5 and 6.

**Answer**

largest coordinate of the newly generated control points:

#### Problem 6.3.3 Convergence of Subdivision for Uniform Splines

Show that the control polygons  $c^0, c^1, \dots$ , generated by the subdivision algorithm for uniform splines, converge to the spline curve:

$$\|c^m(t) - p(t)\|_\infty = O(4^{-m})$$

for all parameters  $t$ .

#### Problem 6.3.4 Knot Removal for a Cubic Spline Curve

Which of the knots  $\tau_k = k$ ,  $k = 0, \dots, 9$ , of the cubic spline curve with control points

$$C = \begin{pmatrix} 0 & 4 & 1 & 3 & 6 & 6 \\ 0 & 0 & 3 & 4 & 3 & 0 \end{pmatrix}^t$$

can be removed, and what are the control points corresponding to the coarser knot sequence?

**Answer**

removable knot:

#### Problem 6.3.5 Simultaneous Knot Insertion for Uniform Cubic Splines with Double Knots

Derive a formula for simultaneous knot insertion for cubic spline curves with double knots:

$$\tau : \dots, 0, 0, h, h, \dots \rightarrow \tau' : \dots, 0, 0, h/2, h/2, \dots$$

**Answer**

largest weight in the formula  $c'_k = \sum_j w_{k,j} c_j$  for the new control points:

#### Problem 6.3.6 Knot Insertion for Cubic Splines with Double Knots

Insert the knot 5 twice for the cubic spline curve with

$$C = \begin{pmatrix} 0 & 0 & 1 & 1 & 2 & 2 \\ 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix}^t$$

and  $\tau_0 = 0, 2, 2, 4, 4, 6, 6, 8, 8, 9 = \tau_9$ .

**Answer**

largest coordinate of the newly generated control points:

**Problem 6.3.7 Knot Insertion for a Quartic Closed Spline Curve**

Insert the knot 2 for the quartic closed spline curve with

$$c_0 = (1, 0), c_1 = (0, 1), c_2 = (0, 0), \quad \tau_0 = 0, \tau_1 = 1, \tau_2 = 3,$$

and periodicity interval  $[0, 4]$ .

**Answer**

largest coordinate of the newly generated control points:

**6.4 Algorithms****Problem 6.4.1 Point on a Quadratic Spline Curve and Tangent Vector**

Sketch the quadratic spline curve  $p$  with control points

$$C = \begin{pmatrix} 4 & 1 & 1 & 5 \\ 0 & 0 & 3 & 5 \end{pmatrix}^t$$

and knot sequence 1, 2, 2, 3, 5, 5, 6. Determine  $p(4)$  and  $p'(4)$  via knot insertion.

**Answer**

largest coordinate of  $p(4)$  and  $p'(4)$ :

**Problem 6.4.2 Point on a Cubic Spline Curve and Tangent Vector**

Determine  $p(3)$  and  $p'(3)$  for the cubic spline curve  $p$  with control points

$$C = \begin{pmatrix} -8 & -5 & 5 & 8 & -2 & -6 \\ 2 & -9 & 9 & 6 & -9 & -5 \end{pmatrix}^t$$

and knots 0, 1, 1, 1, 2, 5, 7, 7, 7, 8.

**Answer**

largest coordinate of  $p(3)$  and  $p'(3)$ :

**Problem 6.4.3 Curvature of a Cubic Spline Curve**

Derive a formula for the curvature of a uniform cubic spline curve in  $\mathbb{R}^3$  at the knots. Give a geometric interpretation. As an example, consider the closed cubic spline curve with the vertices of the unit square  $[0, 1]^2$  as control points.

**Answer**

curvature at the knots for the example:

**Problem 6.4.4 Bézier Form of a Quadratic Spline Curve**

Determine the Bézier form of the closed quadratic spline curve with control points

$$c_0 = (0, 0), (0, -1), (1, 0), (0, 1), (-1, 0) = c_4$$

and knots  $\tau_0 = 0, 1, 1, 3, 6 = \tau_4$  in the periodicity interval  $[0, 10]$ .

**Answer**

largest coordinate of the newly generated control points:

**Problem 6.4.5 Program: Variation of a Control Polygon with respect to a Hyperplane**

Write a program  $v = \text{variation}(C, P)$  which computes the variation  $v$  of a control polygon  $C$  with respect to a hyperplane  $H \subset \mathbb{R}^d$ , determined by  $d$  points  $P(k, :)$ .

**Answer**

variation for  $C = [\cos 0, \sin 0, 0; \dots; \cos 10, \sin 10, 10]$ ,  $P = [1, 0, 0; 0, 2, 0; 0, 0, 3]$ :

**Problem 6.4.6 Bézier Representation of a Quartic Spline Curve**

Determine the Bézier representation of the quartic spline curve with control points

$$C = \begin{pmatrix} 0 & 12 & 12 & 0 & 0 \\ 0 & 0 & 12 & 12 & 0 \end{pmatrix}^t$$

and uniform knots.

**Answer**

largest coordinate of the Bézier control points:

**6.5 Interpolation****Problem 6.5.1 Program: Interactive Natural Spline Interpolation**

Write a program `interactive_interpolation()` which interpolate points in  $[0, 10]^2$ , specified interactively via mouse click, by a natural cubic spline curve.

**Answer**

maximal coordinate, rounded to 2 significant digits, of the control point array for the points  $[8, 2; 2, 2; 2, 8]$ :

## 7 Multivariate Splines

### 7.1 Polynomials

#### Problem 7.1.1 Representation of a Bilinear Polynomial in Terms of Bernstein Polynomials

Express the polynomial  $p(x) = 1 + 2x_1 + 3x_2 + 4x_1x_2$  as a linear combination of bivariate Bernstein polynomials of degree  $(2, 2)$ .

**Answer**

largest Bernstein coefficient:

#### Problem 7.1.2 Program: Multilinear Interpolation

Write a program `p = multilinear_interpolation(F, x)` which evaluates an  $n$ -linear function, defined by the data  $F$  at the vertices  $\{0, 1\}^n$  of the  $n$ -dimensional hypercube  $D = [0, 1]^n$  at  $x \in D$ .

**Answer**

value for the data  $F = [[0, 2; 1, 3], [4, 6; 5, 7]]$  and  $x = [1/2, 2/3, 3/4]$ :

### 7.2 Polynomial Approximation

#### Problem 7.2.1 Zeros of the Error of the Polynomial Orthogonal Projection

Prove that the error  $P^n f - f$  of the orthogonal projection onto  $\mathbb{P}^n[0, 1]$  has at least  $n + 1$  simple zeros in  $(0, 1)$ . Show that this implies

$$\|P^n f - f\|_{\infty, [0, 1]} \leq \|f^{(n+1)}\|_{\infty, [0, 1]}$$

for any smooth function  $f$ .

### 7.3 Splines

#### Problem 7.3.1 Program: Values of Multivariate B-Splines

Write a program `b = bspline_multivariate(n)` which generates the  $d$ -dimensional array  $b^n(k)$ ,  $1 \leq k_\nu \leq n_\nu$ , of values of a uniform multivariate B-spline of degree  $(n_1, \dots, n_d)$  at the knots.

**Answer**

$b^{(4, 7, 9)}(3, 2, 5) =$

#### Problem 7.3.2 Nonexistence of B-Splines of Minimal Total Degree

Show that, on a bivariate tensor product grid, bivariate B-splines of total degree  $\leq n$  and smoothness  $n - 1$  do not exist for  $n > 1$ . More precisely, any function with continuous partial derivatives of order  $\leq n - 1$ , which is a polynomial of total degree  $\leq n$  on each grid cell  $k + [0, 1]^2$ ,  $k \in \mathbb{Z}^2$ , and has support in a square  $[0, m]^2$ , must vanish identically.

## 7.4 Algorithms

### Problem 7.4.1 Gradient of a Bivariate Biquadratic Spline

Compute the gradient of the spline  $p = \sum_k c_k b_{k,\xi}^{(2,2)}$  with coefficients

$$\begin{array}{ccccccc} c_{(-2,-2)} = & -8 & 4 & -8 & & & \\ \dots & & 0 & -4 & 0 & & \dots \\ & & 8 & 4 & -8 & = c_{(0,0)} & \end{array}$$

and uniform knots  $\xi_{\nu,\ell} = \ell h$  at  $(h/2, h/2)$ .

**Answer**

largest coordinate of the gradient for  $h = 1/2$ :

### Problem 7.4.2 Evaluation of a Bivariate Uniform Spline

Evaluate the uniform bivariate spline  $p(x) = \sum_k c_k b^{(3,2)}(x - k)$  with coefficients

$$\begin{array}{ccccccc} c_{(0,0)} = & 0 & 0 & & & & \\ \dots & & 15 & 9 & & & \dots \\ \dots & & 20 & 4 & & & \dots \\ & & 30 & 18 & = c_{(3,1)} & & \end{array}$$

at  $x = (3.5, 2)$ .

**Answer**

$p(3.5, 2)$ :

## 7.5 Approximation Methods

### Problem 7.5.1 Program: Evaluation of a Multivariate Polynomial

Write a program `P = polynomial_multivariate(C,x)` which evaluates a multivariate polynomial

$$p(x) = \sum_{k_1=0}^{n_1} \cdots \sum_{k_d=0}^{n_d} c_k x^k$$

at the grid of points  $x = (x_{1,j_1}, \dots, x_{d,j_d})$ ,  $0 \leq j_\nu \leq n_\nu$ .

**Answer**

largest value of  $p(x)$  for  $n = (3, 3, 3)$ ,  $x_\nu = [1 : 4]$ , and  $c_k = 1 + k_1 + k_2 + k_3$ :

### Problem 7.5.2 Program: Multivariate Polynomial Interpolation

Write a program `C = multivariate_interpolation(x,F)` which computes the interpolating polynomial of coordinate degree  $(n_1, \dots, n_d)$  to data  $f_{(j_1, \dots, j_d)}$  on a tensor product grid  $(x_{1,j_1}, \dots, x_{d,j_d})$ ,  $0 \leq j_\nu \leq n_\nu$ .

**Answer**

largest monomial coefficient for  $d = 4$ ,  $n_\nu = 3$ ,  $x_{\nu,j} = j$ , and  $f_j = (-1)^{j_1+j_2+j_3+j_4}$ :

## 7.6 Hierarchical Bases

### Problem 7.6.1 Dimension of a Hierarchical Spline Space

Determine the dimension of the bilinear hierarchical spline space on  $D = [4, 36] \times [4, 28]$ , determined by the tree

$$\Xi : \xi^* \rightarrow \eta, \tilde{\eta}, \eta \rightarrow \zeta$$

where

$$\begin{array}{ll} \xi_1^* : 0, 4, \dots, 40, & \xi_2^* : 0, 4, \dots, 32 \\ \eta_1 : 2, 4, \dots, 20, & \eta_2 : 2, 4, \dots, 20 \\ \tilde{\eta}_1 : 24, 26, \dots, 32, & \tilde{\eta}_2 : 12, 14, \dots, 24 \\ \zeta_1 : 8, 9, \dots, 20, & \zeta_2 : 8, 9, \dots, 18. \end{array}$$

**Answer**

dimension:

### Problem 7.6.2 Program: Adaptive Approximation of the Square Root with Hierarchical Linear Splines

Write a program `xi = square_root_approximation(tol)` which constructs adaptively a linear hierarchical spline for approximating the function  $x \mapsto \sqrt{x}$ ,  $x \in [0, 1]$ . Use linear interpolation and subdivide a subinterval at the midpoint if the error is larger than `tol`. Plot the maximal errors on the subintervals of the resulting hierarchical partition  $0 = \xi_1 < \dots < \xi_m = 1$ .

**Answer**

number of intervals to achieve an error  $\leq 0.001$ :

## 8 Surfaces and Solids

### 8.1 Bézier Surfaces

#### Problem 8.1.1 Approximation of a Sphere by Bi-Quadratic Bézier Patches

Determine a fully symmetric approximation of the sphere  $S : x_1^2 + x_2^2 + x_3^2 = 3$  by 6 bi-quadratic Bézier patches. The patches should touch the sphere at  $(\pm 1, \pm 1, \pm 1)$  (endpoint interpolation) as well as at  $(\pm\sqrt{3}, 0, 0)$ ,  $(0, \pm\sqrt{3}, 0)$ ,  $(0, 0, \pm\sqrt{3})$ .

**Answer**

distance of the middle Bézier control points to the origin:

#### Problem 8.1.2 Nonexistence of a Tangent Plane for a Bézier Patch with a Degenerate Boundary

Show by example that a Bézier patch with  $c_{0,0} = \cdots = c_{n_1,0}$  does, in general, not have a tangent plane at the multiple boundary control point.

#### Problem 8.1.3 Conditions for Tangent Plane Continuity

Assume that two regular Bézier parametrizations of degree  $(n, n)$  share a common boundary curve:

$$q(1, s) = p(0, s), \quad 0 \leq s \leq 1.$$

A sufficient condition for tangent plane continuity is

$$\partial_1 q(1, s) = \alpha \partial_1 p(0, s) + (\beta_0(1-s) + \beta_1 s) \partial_2 p(0, s), \quad 0 \leq s \leq 1,$$

with  $\alpha > 0$ . Express this condition in terms of the control points.

### 8.2 Spline Surfaces

#### Problem 8.2.1 Bicubic Model of a Torus

Model a torus with radii 3 and 1 with uniform bicubic splines with 16 control points. The surface should touch the torus at least at 16 points.

#### Problem 8.2.2 Program: Spline Model of a Möbius Strip

Model a Möbius strip (a rectangular band, connected at opposite ends, twisted by  $180^\circ$ ) by a spline surface.

### 8.3 Subdivision Surfaces

#### Problem 8.3.1 Program: 4-Point Scheme for Surfaces

Write a program `Q = four_point_scheme_surface(P)` which implements one step of the tensor product 4-point scheme, which is based on bicubic interpolation, for a rectangular quadrilateral mesh of points  $P(j, k, :)$ .

**Answer**

$\sum_{i,j,k} q_{i,j,k}$  for  $p_{i,j} = [j, k, j^2 + k^2]$ :

#### Problem 8.3.2 Limit Points for the Scheme of Catmull-Clark

Determine the limits of the irregular vertices for the Catmull-Clark algorithm applied to the wireframe of the standard cube  $[-1, 1]^3$ .

**Answer**

distance of the vertex limits to the origin:

## 8.4 Blending

### Problem 8.4.1 Coon's Patch for Quadratic Bézier Boundaries

Blend the boundary values

$$p(x_1, 0) = 0, p(1, x_2) = x_2, p(x_1, 1) = 1, p(0, x_2) = x_2^2, \quad 0 \leq x_1, x_2 \leq 1,$$

with a biquadratic polynomial in Bernstein-Bézier form.

**Answer**

middle Bézier coefficient  $c_{1,1}$ :

### Problem 8.4.2 Program: Visualization of a Coon's Patch

Write a program `p = coons_patch(ps0, ps1, p0t, p1t, n)` which evaluates the parametrization of a Coon's patch, defined by four boundary curves, at a tensor product grid with coordinates  $0, 1/n, \dots, 1$  and visualizes the surface.

**Answer**

sum of the coordinates of the patch values for the Coon's patch which interpolates the boundary of the surface (quarter of a torus)

$$S : (s, t) \mapsto (\cos(\pi t/2)(2 + \cos(\pi s)), \sin(\pi t/2)(2 + \cos(\pi s)), \sin(\pi s)), \quad 0 \leq s, t \leq 1,$$

and the grid, defined by  $s = t = (0, \dots, 10)/10$ :

## 8.5 Solids

### Problem 8.5.1 Program: Volume of a Bézier Solid

Write a program `volume = solid_volume(C)` which determines the volume of a Bézier solid with regular parametrization and control points  $C$ .

**Answer**

volume for the solid with control points  $c_k = k(1 + |k|^2)$ ,  $k_\nu \leq 2$ :

## 9 Finite Elements

### 9.1 Ritz-Galerkin Approximation

#### Problem 9.1.1 Ritz-Galerkin Approximation with Sine Functions

Determine the Ritz-Galerkin approximation of the boundary value problem

$$-u'' + u = x, \quad u(0) = u(\pi) = 0,$$

for the finite elements  $x \mapsto \sin(kx)$ ,  $k = 1, \dots, n$ .

**Answer**

coefficient of  $\sin(10x)$ :

#### Problem 9.1.2 Program: Ritz-Galerkin Approximation of a Radially Symmetric Poisson problem

Write a program `residuuum = residuum_poisson_radial(n)` which computes and plots the Ritz-Galerkin approximation  $u_n$  of the radially symmetric Poisson problem

$$-\frac{1}{r}(ru')' = \exp(r^2), \quad u(1) = 0,$$

on the unit disc for the basis functions

$$B_1, \dots, B_n, \quad B_k(r) = 1 - r^{2k}.$$

Moreover, the program calculates the maximum norm of the residuum  $e_n(r) = -(1/r)(ru'_n)' - \exp(r^2)$ .

**Answer**

maximum norm of the residuum for  $n = 5$ :

#### Problem 9.1.3 $H^1$ -Error of Univariate Hat-Functions

Derive the error estimate

$$|u - u_h|_1 \leq h|u|_2, \quad |v|_k^2 = \int_0^1 |v^{(k)}|^2,$$

for piecewise linear interpolants  $u_h$  of a function  $u \in H^2(0, 1)$ .

### 9.2 Weighted B-Splines

#### Problem 9.2.1 Ritz-Galerkin Integrals of Bilinear B-Splines

Determine the Ritz-Galerkin integrals

$$g_{k,\ell} = \int \text{grad } b_{k,h}^n \text{ grad } b_{\ell,h}^n$$

for bivariate tensor product B-splines of degree  $n = (1, 1)$ .

**Answer**

$\sum_{\ell} |g_{k,\ell}|$ :

#### Problem 9.2.2 Program: Rvachev Operations for Weight Functions Represented by m-Files

Write a program `rfct(w, operation, w1, w2)`, which implements Rvachev's method for weight functions represented by MATLAB m-files `w1`, `w2`, by generating an m-file `w` according to the specified Boolean operation (union, intersection, or complement).

### 9.3 Isogeometric Elements

#### Problem 9.3.1 Bijectivity of a Bilinear Isoparametric Transformation of the Unit Square

Show that a bilinear isoparametric transformation of the unit square is bijective if and only if the image is a convex quadrilateral.

### 9.4 Implementation

#### Problem 9.4.1 Numerical Integration of Bilinear Splines over a Boundary Cell

For  $\Omega : 0 < x_1, x_2 < 1, x_2 < x_1^2$ , and bilinear B-splines  $b_k$  with grid width 1, determine weights  $\gamma_k$  such that

$$\int_{\Omega} p(x) dx = \sum_k \gamma_k c_k, \quad p = \sum_k c_k b_k.$$

**Answer**

smallest weight  $\gamma_k$ :

#### Problem 9.4.2 Gauß Parameters for a Bivariate Boundary Cell

Using the univariate formula

$$\int_0^1 f \approx \frac{1}{2} f\left(\frac{1}{2} - \frac{\sqrt{3}}{6}\right) + \frac{1}{2} f\left(\frac{1}{2} + \frac{\sqrt{3}}{6}\right),$$

determine weights  $\gamma_\ell$  and nodes  $(x_\ell, y_\ell)$  for integration over the boundary cell

$$\Omega : 1 - 2xy > 0, \quad 0 < x, y < 1.$$

**Answer**

approximation of  $\int_{\Omega} x^y dx dy$ :

### 9.5 Applications

#### Problem 9.5.1 Strain Tensor for a Radially Symmetric Displacement

Compute the strain tensor  $\varepsilon(u)$  for a radially symmetric displacement  $u(x) = \varphi(r)x$ ,  $r = (x_1^2 + x_2^2 + x_3^2)^{1/2}$ .

**Answer**

$\sum_{k,\ell} \varepsilon_{k,\ell}$  for  $\varphi(r) = r^2$  and  $x = (1, 1, 1)$ :

#### Problem 9.5.2 Program: Elasticity Bilinear Form for Hat-Functions on a Tetrahedron

Write a program `G = P_sigma_epsilon_hat(P, lambda, mu)` which computes the  $4 \times 4 \cdot (3 \times 3)$  block matrix

$$\int_{[p_1, p_2, p_3, p_4]} \sigma(B_k e_\alpha) : \varepsilon(B_\ell e_\beta)$$

for the hat functions  $B_j$  which correspond to the vertices  $p_j$  of a tetrahedron and the unit vectors  $e_1, e_2, e_3$ .

**Answer**

$\sum_{k,\ell,\alpha,\beta} |g_{k,\ell,\alpha,\beta}|$  for the standard simplex with vertices  $(0, 0, 0)$ ,  $(1, 0, 0)$ ,  $(0, 1, 0)$ , and  $(0, 0, 1)$  and  $\lambda = \mu = 1$ :

# Part II

## Hints

# 1 Polynomials

## 1.1 Monomial Form

**Problem 1.1.1** Derive a recursion for the summands.

**Problem 1.1.2** Compare coefficients in the identity  $p(x) = q(x)(x - a) + q_0$ .

**Problem 1.1.3** Obtain a recursion for  $r'$  by differentiating the expression for  $r_k$ .

## 1.2 Taylor Approximation

**Problem 1.2.1** Determine the worst case for each factor of the Taylor remainder.

**Problem 1.2.2** Determine first an upper bound  $r(h)$  for the Taylor remainder. Then solve the equation  $r(h) = 10^{-12}$  for  $h$ .

**Problem 1.2.3** Differentiate the differential equation with the aid of the chain rule, i.e., by using  $(d/dx)f(y) = f'(y)y'$ .

## 1.3 Interpolation

**Problem 1.3.1** Use the Lagrange form of the interpolating parabola.

**Problem 1.3.2** Differentiate the Lagrange form of the interpolating quadratic polynomial.

**Problem 1.3.3** Use the Lagrange form of the cubic interpolant.

**Problem 1.3.4** Multiplication of a polynomial by  $(x + c)$  corresponds to the operation  $a \rightarrow [0; a] + c * [a; 0]$  on the coefficients  $a(k)$ .

**Problem 1.3.5** Measure the error based on 1000 equally spaced points in  $[-1, 1]$ .

## 1.4 Bernstein Polynomials

**Problem 1.4.1** Use the matrix describing the basis transformation.

**Problem 1.4.2** Observe that the product of two Bernstein polynomials equals, up to a constant factor, a Bernstein polynomial of higher degree.

## 1.5 Properties of Bernstein Polynomials

**Problem 1.5.1** Use the formula for  $(b_k^n)'$ .

**Problem 1.5.2** It suffices to consider quadratic polynomials.

## 1.6 Hermite Interpolant

**Problem 1.6.1** Use endpoint interpolation and the formula for differentiating a linear combination of Bernstein polynomials.

**Problem 1.6.2** Apply the formula for the Bernstein coefficients. Use the symmetry of the first two segments.

**Problem 1.6.3** Represent the interpolant in Bernstein form.

## 1.7 Approximation of Continuous Functions

**Problem 1.7.1** Use the program `bernstein` to evaluate the Bernstein polynomials.

**Problem 1.7.2** Show first that  $p'_n = n \sum_{k=0}^{n-1} (f((k+1)/n) - f(k/n)) b_k^{n-1}$  and apply the mean value theorem.

## 2 Bézier Curves

### 2.1 Control Polygon

**Problem 2.1.1** Convert to monomial form  $a_0 + a_1t + a_2t^2$  and substitute  $s = t + \alpha$  to obtain the standard parametrization of a parabola.

**Problem 2.1.2** Write the components  $p_\nu$  of the Bézier parametrization in monomial form and consider the equation  $p_1^2 + p_2^2 = 1$ .

### 2.2 Properties of Bézier Curves

**Problem 2.2.1** Show that the Bézier parametrization has the form  $p(t) = c_0 + \gamma(c_k - c_0)t^k + O(t^{k+1})$  and substitute  $s = t^k$ .

**Problem 2.2.2** Use symmetry with respect to the vertical axis and determine the middle control points by testing the parametrization at  $t = 1/2$ .

### 2.3 Algorithm of de Casteljau

**Problem 2.3.1** Recall that the edges of the control polygon are divided in the ratio  $(1 - t) : t$ .

**Problem 2.3.2** Note that  $\varphi = \arccos\langle p, q \rangle$  for unit vectors  $p$  and  $q$ .

### 2.4 Differentiation

**Problem 2.4.1** Setting the derivatives at  $t = 0$  of  $y(t) - \exp(x(t))$  to zero yields nonlinear equations for the derivatives  $x^{(k)}(0)$  and  $y^{(k)}(0)$ .

**Problem 2.4.2** Use the MATLAB functions `polyfit` and `roots`.

### 2.5 Curvature

**Problem 2.5.1** Use subdivision and the formula for the curvature at the endpoints of a Bézier curve.

**Problem 2.5.2** Use symmetry and the endpoint interpolation property.

**Problem 2.5.3** Use the endpoint interpolation property and the formula for the curvature at the endpoints.

**Problem 2.5.4** Use the endpoint interpolation property and the formula for the curvature at the endpoints.

### 2.6 Subdivision

**Problem 2.6.1** Use de Casteljau's algorithm, endpoint interpolation, and the formula for the curvature in terms of the control points.

**Problem 2.6.2** View the Bézier curve as the left part of its extension and traverse de Casteljau's triangle from left to right, i.e., determine  $p_m^0, \dots, p_m^{n-m}$  from  $p_{m-1}^0, \dots, p_{m-1}^{n-m+1}$ .

**Problem 2.6.3** Show first that the maximal edge length of the polygons in de Casteljau's algorithm is nonincreasing.

### 2.7 Geometric Hermite Interpolation

**Problem 2.7.1** Use the parametrization  $t \mapsto (\cos t, 2 \sin t)$  to compute the curvature of the ellipse and note that symmetry is preserved by the interpolant.

## 3 Rational Bézier Curves

### 3.1 Control Polygon and Weights

**Problem 3.1.1** Write a point  $r(t)$  on a quadratic Bézier curve as repeated convex combination of the control points:

$$r(t) = (1 - \alpha)((1 - \beta)c_0 + \beta c_2) + \alpha c_1.$$

**Problem 3.1.2** Divide numerator and denominator of the rational parametrization by  $w_0$  and write

$$w_\ell = (w_\ell/w_{\ell-1})(w_{\ell-1}/w_{\ell-2}) \dots (w_1/w_0).$$

**Problem 3.1.3** First define weights  $w_k$  which correspond to the ratios determined by the weight points.

**Problem 3.1.4** Note that the middle and right curve can be obtained from the left curve by a rotation and shear transformation, respectively.

### 3.2 Basic Properties

**Problem 3.2.1** Use endpoint interpolation and that, by symmetry,  $c_{1,2} = c_{2,2}$  and  $w_1 = w_2$ .

**Problem 3.2.2** Divide numerator and denominator of the parametrization by  $\lambda$  and note that  $w_\ell/\lambda \rightarrow 0$  for  $j, k \neq \ell$ .

**Problem 3.2.3** Transform the weights to standard form.

**Problem 3.2.4** Apply the quotient rule and use the formulas for the derivatives of polynomial Bézier parametrizations.

### 3.3 Algorithms

**Problem 3.3.1** Apply de Casteljau's algorithm to the homogeneous control points.

**Problem 3.3.2** No hint available for this problem.

**Problem 3.3.3** Use homogeneous coordinates and note that the type is determined by the weights.

**Problem 3.3.4** Note that the weight points  $d_k$  divide  $[c_{k-1}, c_k]$  in the ratio  $w_k : w_{k-1}$ . Apply de Casteljau's algorithm in homogeneous coordinates.

### 3.4 Conic Sections

**Problem 3.4.1** Write  $r(t) = (1 - \alpha)c_1 + \alpha(c_0 + c_2)/2$  and determine  $\alpha$  in terms of the weights  $w_k$ .

**Problem 3.4.2** Use endpoint interpolation to determine the control points  $c_k$  and determine the middle weight by testing the parametrization in standard form at  $t = 1/2$ .

**Problem 3.4.3** Test the equation  $(p_1(t), p_2(t) | q(t))A(p_1(t), p_2(t) | q(t))^t = 0$  at 5 points  $t$  to obtain a linear system for the matrix entries  $a_{j,k} = a_{k,j}$ .

**Problem 3.4.4** Compare the coefficients of  $t^\ell$  in the equation  $(p_1, p_2 | q)A(p_1, p_2, q)^t = 0$  where  $p_\nu(t)/q(t)$  are the components of the rational parametrization.

**Problem 3.4.5** Write the polynomials  $p_1, p_2$ , and  $q$  of the rational parametrization as products of their linear factors.

## 4 B-Splines

### 4.1 Recurrence Relation

**Problem 4.1.1** Use the formulas for a B-spline on the first and last knot interval as well as the recurrence relation.

**Problem 4.1.2** Use the recurrence relations or, alternatively, the formula for the first and last polynomial segment of a B-spline.

**Problem 4.1.3** Use the formula for the first knot interval as well as the recurrence relation.

**Problem 4.1.4** Recall that a B-spline with just two different knots coincides with a Bernstein polynomial and use the formula for a B-spline on the first knot interval as well as the recurrence relation.

**Problem 4.1.5** Use the B-spline recursion and note that multiplication with a linear function  $a_1 + a_2x$  changes the coefficients of a polynomial  $p_1 + p_2x + \dots$  according to  $p \rightarrow [a_1 * p; 0] + [0; a_2 * p]$ .

**Problem 4.1.6** Split the intersection into triangles determined by the intersections of  $H$  with the edges of the cube.

**Problem 4.1.7** Use induction and the definition of the uniform B-spline via averaging.

### 4.2 Differentiation

**Problem 4.2.1** Use the recursion for B-spline derivatives.

**Problem 4.2.2** Ignore zero denominators and assign NaN as derivative values to empty knot intervals.

### 4.3 Representation of Polynomials

**Problem 4.3.1** Combine the representations of the monomials, obtained from Marsden's identity, in a suitable way.

**Problem 4.3.2** Recall that the Bernstein polynomial  $b_k^n$  coincides on  $[0, 1)$  with the B-spline with an  $(n + 1 - k)$ -fold knot at 0 and a  $(k + 1)$ -fold at 1.

**Problem 4.3.3** Write  $p(x)$  as linear combination of  $(x - j)^n$  and  $q(k)$  as linear combination of  $\psi_k^n(j)$ .

### 4.4 Splines

**Problem 4.4.1** Since the number of basis functions match, it suffices to show the linear independence of the monomials and truncated powers.

### 4.5 Evaluation and Differentiation

**Problem 4.5.1** Apply the de Boor algorithm.

**Problem 4.5.2** Invert the recursion for the coefficients of the derivative of a spline.

**Problem 4.5.3** Use symmetry and that the B-spline values at the knots sum to one.

**Problem 4.5.4** Note that for each point  $x_k$  only two B-splines are relevant. Express  $p_k$  and  $d_k$  in terms of the B-spline coefficients via the explicit formulas for the first and last B-spline segments and solve the resulting local linear systems.

**Problem 4.5.5** Using the formula for differentiating a spline, derive an identity for  $\int_{\xi_k}^x b_{k,\xi}^n$  and then let  $x$  tend to infinity.

**Problem 4.5.6** Use the formula for differentiating a spline and the de Boor algorithm.

**Problem 4.5.7** No hint available for this problem.

**Problem 4.5.8** Apply de Boor's algorithm and use the differentiation formula.

## 4.6 Periodic Splines

**Problem 4.6.1** Use Marsden's identity to obtain the relevant coefficients for  $[0, 1]$  and apply the periodicity conditions.

**Problem 4.6.2** Represent  $p$  on  $[0, 1]$  as a linear combination of B-splines using Marsden's identity and determine  $\alpha$  from the periodicity condition  $c_{k+3} = c_k$ .

## 5 Approximation

### 5.1 Schoenberg's Scheme

**Problem 5.1.1** Show that  $(Qf)' = \sum_k f'(x_k) b_{k,\xi}^{n-1}$  with  $x_k \in [\xi_{k-1}^n, \xi_k^n]$ .

**Problem 5.1.2** Generalize the arguments for  $\sqrt{x}$  using the ansatz  $\xi_\ell = ((\ell - 2)/(m - 2))^\beta$  with an appropriate choice  $\beta(\alpha)$ .

**Problem 5.1.3** Compare with the exact representation of  $x^2$  via Marsden's identity.

**Problem 5.1.4** Derive a lower bound for the relative error at the knot averages.

**Problem 5.1.5** Write  $f(x) = \sum_k f(x_k) b_{k,\xi}^n(x)$  and estimate  $f(x) - f(\xi_k^n)$  using the uniform continuity of  $f$  on compact intervals.

### 5.2 Quasi-Interpolation

**Problem 5.2.1** Use that  $Qf = f$  for constant and linear functions.

**Problem 5.2.2** Use symmetry, i.e., that  $\alpha_0 = \gamma_2, \beta_0 = \beta_2, \gamma_0 = \alpha_2, \alpha_1 = \gamma_1$ , to simplify the computations.

**Problem 5.2.3** Test the identity  $Q_k(\cdot - y)^2 = \psi_k(y)$  for  $y = \eta_{k+\nu}$ .

**Problem 5.2.4** Use symmetry and make the ansatz  $\gamma = \alpha$ . Compare with Marsden's identity for  $f(x) = 1$  and  $f(x) = x^2$ .

**Problem 5.2.5** By symmetry, it is sufficient to construct  $Q_0$ . Test the identity  $Q_0(\cdot - y)^3 = \psi_0(y)$  for  $y = 0, h/2, \dots, 2h$ .

**Problem 5.2.6** Testing the identity  $Q_k(\cdot - y)^n = \psi_k(y)$  for  $y = (k + \mu)h$  leads to an underdetermined linear system which is independent of  $h$  and  $k$ .

### 5.3 Accuracy of Quasi-Interpolation

**Problem 5.3.1** Note that the B-spline coefficients do only change in the vicinity of newly added knots.

### 5.4 Stability

No problems for this section.

### 5.5 Interpolation

**Problem 5.5.1** Choose  $\xi_{j+2} = q^j$ . To determine the matrix entries use the formulas for the first and last B-spline segment as well as the fact that the B-splines sum to one.

**Problem 5.5.2** Use the formulas for the first and last B-spline segment and the fact that the B-splines sum to one. The not-a-knot condition yields two additional rows of the interpolation matrix which can be determined with the aid of the formulas for differentiating B-splines.

**Problem 5.5.3** Note that for each interpolation point exactly  $n$  B-splines are relevant and that these B-splines vanish at all other points.

**Problem 5.5.4** Use the ansatz  $c_{k-2} = \gamma(\lambda^k + \lambda^{M-k})$  for the B-spline coefficients of the Lagrange spline.

## 5.6 Smoothing

**Problem 5.6.1** Derive the orthogonality relation  $\langle f'' - p'', b_{k,\xi}^1 \rangle = 0$  which characterizes the orthogonal projection.

**Problem 5.6.2** Consider the natural spline interpolants of a minimizing sequence  $f_\ell$ .

**Problem 5.6.3** Assume that  $|f_k - p^\ell(x_k)| \geq \varepsilon$  for a sequence of smoothing splines with weights  $w_k^\ell \rightarrow \infty$  and compare  $E(p^\ell, \sigma)$  with the value for the interpolating natural spline.

## 6 Spline Curves

### 6.1 Control Polygon

**Problem 6.1.1** Use multiple knots to model the corners in the letters  $\beta$  and  $\gamma$ .

**Problem 6.1.2** No hint available for this problem.

### 6.2 Basic Properties

**Problem 6.2.1** No hint available for this problem.

**Problem 6.2.2** The Bernstein polynomials  $b_k^n$  correspond to the knot sequence  $\tau_0 = 0, \dots, 0 = \tau_n < \tau_{n+1} = 1, \dots, 1 = \tau_{2n+1}$ .

**Problem 6.2.3** No hint available for this problem.

### 6.3 Refinement

**Problem 6.3.1** Use the formulas of the B-splines on their first and last segment.

**Problem 6.3.2** No hint available for this problem.

**Problem 6.3.3** Use the estimate for the distance of a uniform spline curve from its control polygon in conjunction with the stability of the B-spline basis.

**Problem 6.3.4** Compute the control points of the piecewise constant third derivative of the parametrization.

**Problem 6.3.5** Insert successively the knots  $h/2, 3h/2, 5h/2, \dots$ , and then simultaneously double their multiplicity.

**Problem 6.3.6** No hint available for this problem.

**Problem 6.3.7** Form a sufficiently large periodic extension of the control points.

### 6.4 Algorithms

**Problem 6.4.1** Computing the point on the curve requires two, the tangent vector merely one knot insertion step.

**Problem 6.4.2** No hint available for this problem.

**Problem 6.4.3** Derive first a formula for  $p'(\tau_k)$  and  $p''(\tau_k)$  in terms of the control points  $c_{k-3}, c_{k-2}$ , and  $c_{k-1}$ , and then substitute the resulting expressions into the definition of curvature.

**Problem 6.4.4** No hint available for this problem.

**Problem 6.4.5** Determine first the equation of the hyperplane by solving an appropriate linear system.

**Problem 6.4.6** No hint available for this problem.

### 6.5 Interpolation

**Problem 6.5.1** Use the MATLAB function `ginput` for point specification as well as the AMB programs `spline_interpolation_cubic` for interpolating each coordinate separately with equally spaced abscissae and `spline_curve` for evaluation.

## 7 Multivariate Splines

### 7.1 Polynomials

**Problem 7.1.1** Use the formulas for the derivatives at  $x = (0, 0)$ .

**Problem 7.1.2** Interpolate successively with respect to the  $n$  coordinate directions, i.e., in the first step the values at  $0 \times \{0, 1\}^{n-1}$  and  $1 \times \{0, 1\}^{n-1}$  are interpolated based on the coordinate  $x_1$ .

### 7.2 Polynomial Approximation

**Problem 7.2.1** Use that  $\int (e - \varepsilon q)^2 < \int e^2$  for small  $\varepsilon$  if  $q$  has the same sign as the error  $e$ .

### 7.3 Splines

**Problem 7.3.1** Use a subroutine which generates the values of the uniform univariate B-spline via the recurrence relation.

**Problem 7.3.2** Consider the piecewise polynomial function restricted to lines parallel to  $(1, -1)$ . Use the minimal support property of B-splines to conclude that univariate splines with support on a single grid interval must vanish identically.

### 7.4 Algorithms

**Problem 7.4.1** No hint available for this problem.

**Problem 7.4.2** No hint available for this problem.

### 7.5 Approximation Methods

**Problem 7.5.1** Process the array  $C$  successively in each of the coordinate directions.

**Problem 7.5.2** Solve successively the univariate interpolation problems in each of the coordinate directions.

### 7.6 Hierarchical Bases

**Problem 7.6.1** Subtract from the number of B-splines corresponding to a knot sequence in the tree  $\Xi$  the number of B-splines representable on finer grids.

**Problem 7.6.2** Derive an explicit formula for the error of the linear interpolant.

## 8 Surfaces and Solids

### 8.1 Bézier Surfaces

**Problem 8.1.1** By symmetry, the Bézier control points  $c_{0,1}, c_{1,0}, c_{1,2}, c_{2,1}$  lie on the planes through the corresponding cube edges and the origin and can be determined using the endpoint interpolation property.

**Problem 8.1.2** Construct a biquadratic patch containing three different line segments emerging from the origin.

**Problem 8.1.3** Express the partial derivatives in terms of differences of the Bézier control points.

### 8.2 Spline Surfaces

**Problem 8.2.1** The control points for approximating a circle form a square. Determine its diameter and rotate its position by the angles  $\pi/2, \pi$  and  $3\pi/2$ .

**Problem 8.2.2** Parametrize the surface with bivariate splines of degree  $(2, 1)$ . To define the control points, move a gradually twisting line segment along a circle.

### 8.3 Subdivision Surfaces

**Problem 8.3.1** Process rows and columns of the rectangular point array with the univariate scheme. Note that after each step the array boundaries have to be discarded.

**Problem 8.3.2** For an irregular vertex  $p$  denote by  $e_\nu$  the neighboring vertices on edges emerging from  $p$  and by  $v_\nu$  the remaining vertices of the faces containing  $p$ . Set  $E = \sum e_\nu, V = \sum v_\nu$  and determine the  $3 \times 3$  matrix describing the modification of  $(p, E, V)$  by a subdivision step. The limit can now be determined by an eigenvalue analysis.

### 8.4 Blending

**Problem 8.4.1** No hint available for this problem.

**Problem 8.4.2** Store the patch values  $p(s_k, t_\ell) \in \mathbb{R}^3$  as a list of arrays  $p = \{p_1, p_2, p_3\}$ .

### 8.5 Solids

**Problem 8.5.1** Use the program `gausspar` to generate a grid of Gauß points and evaluate the parametrization with the program `spline_solid`.

## 9 Finite Elements

### 9.1 Ritz-Galerkin Approximation

**Problem 9.1.1** Use the orthogonality of the basis functions and their derivatives:

$$\int_0^\pi \sin(jx) \sin(kx) dx = \int_0^\pi \cos(jx) \cos(kx) dx = \frac{\pi}{2} \delta_{j,k}$$

for  $0 < j, k$ .

**Problem 9.1.2** In deriving the variational equations note that  $\int_D \dots = 2\pi \int_0^1 \dots r dr$  for the unit disc  $D$ . Moreover, observe that the integrals  $\int_0^1 \exp(r^2) B_k(r) r dr$  can be computed recursively.

**Problem 9.1.3** By the mean value theorem, there exists a point  $x_k$  in every interval  $D_k = kh + [0, h]$ , such that  $u'(x_k) - u'_h(x_k) = 0$ . Use this fact to estimate  $\int_{D_k} |u' - u'_h|^2$ , noting that  $u'_h$  is constant on  $D_k$  with vanishing second order derivative.

### 9.2 Weighted B-Splines

**Problem 9.2.1** Use the values of the scalar products of univariate B-splines and their derivatives. Moreover, note that  $\sum_\ell g_{k,\ell} = 0$ .

**Problem 9.2.2** Use the MATLAB-commands `switch`, `strcat`, `fprintf`, `fopen`, and `fclose`.

### 9.3 Isogeometric Elements

**Problem 9.3.1** Consider the images of the line segments  $\{x\} \times [0, 1]$ .

### 9.4 Implementation

**Problem 9.4.1** The relevant bilinear B-splines are  $b_k$ ,  $k \in \{-1, 0\}^2$ .

**Problem 9.4.2** Determine the intersections of  $\Gamma : 1 - 2xy = 0$  with the boundary of  $(0, 1)^2$ , and partition  $\Omega$  accordingly. Map the tensor product Gauß formula to the resulting subdomains.

### 9.5 Applications

**Problem 9.5.1** By the chain rule,  $\partial_\nu r = x_\nu / r$ .

**Problem 9.5.2** The integrand is a constant which can be determined from the gradients of the hat-functions. Compute the gradients by considering appropriate directional derivatives.