

# The Evolution of the Identifiable Analysis of the COVID-19 Virus

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**Abstract.** It is important to accurately forecast a new infection such as COVID-19 in order to effectively implement control measures. For this purpose, we study whether the epidemiological parameters such as the rate of infection, incubation period, and rate of recovery for the COVID-19 disease can be identified from daily incidences and death data. The data are obtained from the Florida Department of Health, which reports the numbers of daily COVID-19 cases and disease-induced casualties. Two mathematical models that consist of a system of ordinary differential equations are used to simulate the spread of the coronavirus in the Florida population. Structural identifiability analysis is conducted on the models to determine whether the models are well-structured to forecast the outbreak. Analysis revealed that the SEIR model is structurally identifiable, while the social distancing model is not structurally identifiable. If the model is structurally unidentifiable, it may not accurately forecast the pandemic, and in turn, may lead to inaccurate control measures. Then, the practical identifiability of parameter estimates that provide the best fit was investigated using Monte Carlo simulations. The practical identifiability analysis revealed that all of the parameters in the SEIR model are practically identifiable, but the parameters  $\delta$ ,  $\delta_E$ , and  $\rho$  were found to be unidentifiable in the social distancing model. By comparing two models in this project, we were able to determine the effectiveness of social distancing in preventing incidences and saving lives from the disease in Florida. Furthermore, we consider how people's behavior changes over time, and how this may affect the rate of disease spread in the population. To represent this, we develop a recipe to determine the time-dependent transmission rate,  $\beta(t)$ , from the data and introduce a methodology of how to accomplish this.

**Key words.** coronavirus, COVID-19, epidemiology, SEIR Model, parameters, mathematical model, MATLAB, infectious disease, Monte Carlo simulations;

**AMS subject classifications.** 92D30, 92D40

**1. Introduction.** The novel coronavirus (COVID-19), caused by the SARS-CoV-2 virus, has been groundbreaking in the realm of infectious diseases, bringing worldwide attention to the importance of reducing disease spread amidst a global pandemic. COVID-19 is a catastrophic infectious disease that is transmitted by close contact from person to person via respiratory droplets [15]. This newly risen coronavirus that has widely spread throughout the world very rapidly was declared as a worldwide pandemic by the World Health Organization (WHO) in March 2020 [2]. Many national agencies, such as the Centers for Disease Control (CDC), have shared advisories to practice social distancing in an effort to limit the amount of person to person contact and slow the spread of the disease. By practicing social distancing and limiting close contacts with other individuals, we work towards reducing the transmission rate of the virus and minimize the amount of people who contract the disease [9]. Epidemiology is the field of study concerned with the spread of such infectious diseases, and mathematics has an immanent connection with epidemiology in representing how quickly infectious diseases spread within a population. Research institutions and national agencies can use methods of mathematical modelling to map the impact of a virus on a population [12]. By

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42 doing so, it is possible to predict the long-term behavior of the virus in order to take proper  
43 precautionary measures. Ideally, conducting mathematical modeling on infectious diseases  
44 allows for a quantitative method to evaluate the efficiency of implementing control measures  
45 and develop an accurate forecast of the outbreak [11]. There is a large existing literature on  
46 mathematical models specific to COVID-19, including a mathematical representation of the  
47 impact of non-pharmaceutical interventions (such as social distancing, quarantine, and use of  
48 face masks) in curtailing the disease spread [10]. In addition, mathematical assessments can be  
49 utilized to simulate the effectiveness of vaccination efforts to curtail the pandemic [3]. In this  
50 project, mathematical models are used to represent the transmission of the COVID-19 virus  
51 throughout a population, in this case the population of Florida. The mathematical model can  
52 then be matched with actual data of COVID-19 cases as reported by the Florida Department  
53 of Health to estimate the values of certain epidemiological parameters in the mathematical  
54 model, such as the transmission rate, incubation rate, and recovery rate of the disease [13].

55 After determining the values of the epidemiological parameters in the model, it is impor-  
56 tant to study whether the parameter estimation is well posed to uniquely reveal the parameters  
57 from the observed data, which is what we investigate by conducting identifiability analysis.  
58 Primarily, it is crucial to study the identifiability of the model before estimating the parameter  
59 values to determine whether the model is structured to reveal its parameters, which is known  
60 as structural identifiability [7]. After collecting the estimated values of the parameters in the  
61 epidemiological model, the next step is to measure the practical identifiability of the model  
62 through Monte Carlo simulations. In Monte Carlo simulations, we introduce error into the  
63 dataset and then refit epidemiological model to the data with error 1,000 times to observe how  
64 the parameter values react to the introduced error [18]. Results from Monte Carlo simulations  
65 may suggest whether the model is practically identifiable or not, and whether the parameter  
66 estimations are reliable enough to influence decisions of implementing disease control mea-  
67 sures, such as social distancing. In the data from the Florida Department of Health, it is clear  
68 that people's behaviors are changing over time, which may have an effect in the rate of disease  
69 spread. For example, during the holiday seasons people tend to congregate in large groups  
70 more often, and a subsequent rise in COVID-19 incidences is observed. To represent this in  
71 the model, a recipe is developed to make the transmission rate change over time, expressed  
72 as  $\beta(t)$ . By computing the time-dependent transmission rate, the model is reflective of how  
73 individuals' social behaviors change over time and influence the rate of disease spread [5].  
74 By combining several epidemiological characteristics of COVID-19 in the model, the model is  
75 optimized to best represent the spread of the disease throughout the Floridian population.

76 **2. Methods.** The data for this project were collected from the website for the Florida  
77 Department of Health [13]. The Florida Department of Health reports weekly cases of in-  
78 dividuals infected with the COVID-19 virus and disease-induced deaths on their website –  
79 excluding personal information such as names and other factors of identification and is avail-  
80 able for use to the public. For this project, data was collected weekly every Monday starting  
81 from April 27th, 2020 until July 5th, 2020. After the preliminary mathematical model was  
82 constructed, a code was written in the computer software MATLAB to run the epidemio-  
83 logical model in comparison to the COVID-19 incidence data from the Florida Department  
84 of Health website. The code and data used for this project has been made available at

85 <https://github.com/viveksreejithkumar/Identifiable-Analysis-COVID-19>.

86 The MATLAB code was instructed to perform curve fitting on the model according to the  
 87 COVID-19 incidence and death data by manipulating the parameter values until the squared  
 88 difference between the data points and the model predictions was minimized. After the fitting  
 89 process was complete, the parameters that provided the best fit of the model to the data were  
 90 recorded as results. After developing the most accurate mathematical model and estimating  
 91 the parameter values that provided the best fit to the incidence data, the next step in the  
 92 project was to measure the identifiability of the parameter estimations. In this research, we  
 93 would like to analyze the evolution of identifiability analysis as the new infections spread in  
 94 the population. We achieve this by performing Monte Carlo Simulations for each week data  
 95 was collected starting from April 20.

96 To conduct identifiability analysis, Monte Carlo simulations are run on the model by  
 97 introducing error into both the COVID-19 incidence and death data. A second code on the  
 98 MATLAB software is written that injects the noise level into the data, and then refits the  
 99 epidemiological model 1,000 times according to the data points that contain the error. Then,  
 100 a list of the average relative errors in the parameter estimations is produced, which allows for  
 101 observation on how the parameter values reacted to the noise levels in the data. If the average  
 102 relative errors are higher than the measurement errors, then it is concluded that the model is  
 103 practically identifiable, meaning the parameter estimates are reliable.

| Variable | Definition   |
|----------|--|
| $N(t)$   | Number of total individuals in the population                              |
| $S(t)$   | Number of susceptible individuals at time t                                |
| $S_d(t)$ | Number of susceptible individuals who practice social distancing at time t |
| $E(t)$   | Number of exposed individuals at time t                                    |
| $I(t)$   | Number of infectious individuals at time t                                 |
| $R(t)$   | Number of individuals removed from the population at time t                |

**Table 1**  
 Definition of variables in the outbreak models (3.1) and (3.2)

104 **3. Formulation of Mathematical Models.**

105 **3.1. SEIR Model.** The Susceptible-Exposed-Infected-Recovered (SEIR) model consists  
 106 of ordinary differential equations (ODEs) which describe the movement of individuals of the  
 107 population between four different non-intersecting classes,  $S(t)$ ,  $E(t)$ ,  $I(t)$ , and  $R(t)$ . People  
 108 in the susceptible ( $S(t)$ ) class are the individuals in the population who do not yet have the  
 109 disease and are prone to contracting it. Usually, the individuals within the exposed ( $E(t)$ )  
 110 class are not considered infectious and are not capable of transmitting the disease to other  
 111 individuals until the incubation period is over, from when they might begin to infect others  
 112 without realizing they have become infectious. However, the exposed class is considered  
 113 differently with COVID-19. In this project, we consider the exposed (asymptomatic) class to  
 114 be able to transmit the disease to other individuals before the incubation period is over. The  
 115 infected ( $I(t)$ ) class consists of individuals who are currently infected with the disease and

| Parameter  | Definition  |
|------------|---|
| $\beta$    | Transmission rate of infected individuals   |
| $\beta_E$  | Transmission rate of exposed individuals  |
| $\eta$     | Fraction of susceptible individuals who practice social distancing  |
| $\delta$   | Factor that reduces the infectious rate of infected individuals who practice social distancing. ( $0 < \delta < 1$ )  |
| $\delta_E$ | Factor that reduces the infectious rate of exposed individuals who practice social distancing. ( $0 < \delta_E < 1$ ) |
| $\alpha$   | Recovery Rate   |
| $\nu$      | Disease-induced death rate  |
| $\rho$     | Rate of social distancing individuals discontinuing social distancing   |
| $k$        | Rate at which the exposed class progress into the infectious class  |

Table 2

*Definition of parameters in the outbreak models (3.1) and (3.2)*

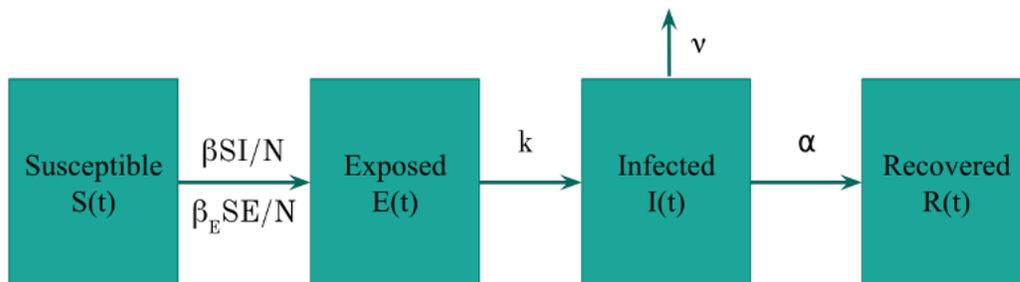
116 transmit the disease to others. The recovered ( $R(t)$ ) class consists of individuals who had  
 117 the disease in the past and have recovered from the COVID-19 disease.

118 The derivative of the susceptible class ( $S(t)$ ) is the individuals who become infectious per  
 119 unit of time. Since this is an outbreak model, the rate of change in the susceptible class is  
 120 negative. The susceptible class gets smaller as more people become infected. Incidences is  
 121 defined as the number of individuals who become infectious per unit time. As the COVID-19  
 122 disease is spread through airborne respiratory droplets when an individual sneezes or coughs,  
 123 it only takes one contact between an infected person and a susceptible person to transmit the  
 124 disease. Furthermore, if the virus inhabits an object or a surface and an individual comes into  
 125 contact with that surface, the disease can be transmitted when the individual subsequently  
 126 touches their eyes, nose, or mouth. For COVID-19, new incidences occur due to contacts  
 127 that a susceptible individual has with an infected individual or an infected object or surface.  
 128 In our model, we are only modelling contacts between individuals (without an environment  
 129 component). We use  $\beta$ , to represent the rate of infection, which, by definition, is the product  
 130 of the number of contacts on infected individual makes in the population and the probability  
 131 that contact results in disease transmission. We use standard incidence to model the new  
 132 infections per unit time. The exposed class,  $E(t)$ , accounts for individuals who have been  
 133 exposed to the virus but have not yet showed any symptoms of the infection (asymptomatic).  
 134 Even though we don't see individuals in the exposed class demonstrating symptoms of the  
 135 disease, we consider them as infectious [4]. Thus the new incidences in the model takes the  
 136 form  $\beta SI/N + \beta_E SE/N$ . Once an exposed individual completes the incubation period which  
 137 is given as  $\frac{1}{k}$ , becomes infectious showing clinical symptoms and moves into the infected class,  
 138  $I(t)$ . An infected individual either recovers from the disease and moves to the recovered class  
 139 at rate  $\alpha$ , or dies from the disease at rate  $\nu$ . With these assumptions, the model takes the

140 following form;

$$\begin{aligned}
 S' &= -S \left( \beta \frac{I}{N} + \beta_E \frac{E}{N} \right), \\
 E' &= S \left( \beta \frac{I}{N} + \beta_E \frac{E}{N} \right) - kE, \\
 I' &= kE - (\alpha + \nu)I, \\
 R' &= \alpha I.
 \end{aligned}
 \tag{3.1}$$

142 A flowchart that exhibits the movement of individuals between the 4 classes of the SEIR  
 143 model (3.1) is presented in Figure 1.



**Figure 1.** SEIR Model – Flowchart: A compartmental flowchart illustrating the movement of individuals between the 4 classes of the SEIR model. A susceptible individual can come into contact with the virus and moves into the exposed class. After the incubation period ( $\frac{1}{k}$ ) moves into the infected class. An infected individual either recovers from the disease at rate  $\alpha$  and moves into the recovered class or dies from the disease at rate  $\nu$ .

**3.2. SEIR Model with Social Distancing.** To combat the spread of the infectious disease, one preventative measure introduced during the COVID-19 pandemic was social distancing. Specifically, in Florida, a stay-at-home lockdown order was announced by the governor on April 1<sup>st</sup>, 2020. This order mandated that individuals remain inside their homes during the period of the pandemic, which limited contacts between individuals that could otherwise have possibly increased disease transmission. Over time, as the lockdown period ended and Florida began slowly reopening, a social distancing protocol was mandated to limit the number of disease-spreading contacts. Per social distancing protocols, individuals who leave their homes were required to wear masks and stay 6 feet apart from others to slow the spread of the disease. To account for social distancing individuals, we modify the SEIR model (3.1) to include a social-distancing class ( $S_d(t)$ ) that indicates individuals who follow social-distancing protocols. A susceptible individual either decide to follow social distancing protocols and move to the social distancing class or decide not to practice social distancing and remain in the susceptible class. The number of individuals who are social distancing per unit time is given by the term  $\eta S$ , and the number of social distancing individuals who decides to quit social distancing per unit time is given by the term  $\rho S_d$ . Individuals in the susceptible or social distancing class encounter the virus, but we assume that the transmission rate is reduced due to social-distancing. We encounter this by multiplying the transmission rate with  $0 < \delta < 1$

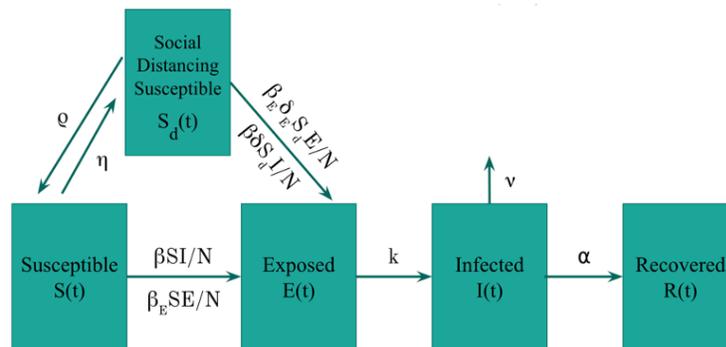
when a social distancing individual gets into contact with an infected individual and with  $0 < \delta_E < 1$  when a social distancing individual gets into contact with an exposed individual. Taking into account social distancing individuals, new incidences term becomes;

$$S \left( \beta \frac{I}{N} + \beta_E \frac{E}{N} \right) + S_d \left( \beta \delta \frac{I}{N} + \beta_E \delta_E \frac{E}{N} \right).$$

144 The new incidences first move into the exposed class, and after the incubation period, pass into  
 145 the infected class. Infected individuals recover from the disease and move into the recovered  
 146 class or die from the disease. With these assumptions, the social distancing model takes the  
 147 following form:

$$(3.2) \quad \begin{aligned} S' &= -S \left( \beta \frac{I}{N} + \beta_E \frac{E}{N} \right) - \eta S + \rho S_d, \\ S_d' &= -S_d \left( \beta \delta \frac{I}{N} + \beta_E \delta_E \frac{E}{N} \right) - \rho S_d + \eta S, \\ E' &= S \left( \beta \frac{I}{N} + \beta_E \frac{E}{N} \right) + S_d \left( \beta \delta \frac{I}{N} + \beta_E \delta_E \frac{E}{N} \right) - kE, \\ I' &= kE - (\alpha + \nu)I, \\ R' &= \alpha I. \end{aligned}$$

149 A flowchart that demonstrates the movement of individuals between the 5 classes of the  
 150 social distancing model (3.2) is given in Figure 2. The flow of individuals is very similar  
 151 to the flowchart of the SEIR Model (3.1), except we add one compartment for individuals  
 152 who practice social distancing ( $S_d(t)$ ). Although it appears that social distancing affects only  
 153 the susceptible population in reducing their exposure, in reality, social distancing would also  
 154 reduce the transmissibility of the exposed/infected class. However, for simplicity we only  
 155 consider the effect of social distancing as a separate susceptible class. For the purpose of  
 156 identifiability, we apply social distancing to the model as simply as possible.



**Figure 2.** Social Distancing SEIR Model – Flowchart: A compartmental flowchart illustrating the movement of individuals between the 5 classes of the social distancing model (3.2). Individuals who begin as susceptible either decide to follow social distancing protocols and move to the social distancing class or they do not practice social distancing and remain in the susceptible class. Individuals in the susceptible or social distancing class encounter the virus and then move into the exposed class, then finish their incubation period and pass into the infected class, and then finally recover from the disease and move into the recovered class.

157 **4. Parameter Estimation.**

158 **4.1. Data.** The data for this project was collected from the Florida Department of Health  
 159 website [13]. On this website, there is publicly available data on new daily cases of COVID-19  
 160 and on disease-induced deaths in Florida. The website tracks data from the past 30 days and  
 161 the data are updated daily around 11A.M. EST. For this project, COVID-19 incidence and  
 162 deaths data from the Florida Department of Health website was collected weekly, on every  
 163 Monday afternoon. In this project, data collection was conducted weekly (every Monday)  
 164 starting from April 27th until July 5th, totaling in 12 sets of data. After collecting the new  
 165 weekly data, the new data was adding to the existing data and re-fitted using the MATLAB  
 166 computer software each new week. In this way, we observe the evolution of the parameter  
 167 values in the model each week as new data are available.

**4.2. Fitting Process.** In compact form outbreak models (3.1) and (3.2) can be written as

$$\mathbf{x}'(t) = f(\mathbf{x}, \mathbf{p}) \quad \mathbf{x}(0) = \mathbf{x}_0,$$

168 where  $\mathbf{x}$  represents the state variables, and  $\mathbf{p}$  represents the model parameters, and  $\mathbf{x}_0$  repre-  
 169 sents the initial conditions. For instance for model (3.1)  $\mathbf{x}$ ,  $f(\mathbf{x}, \mathbf{p})$  and  $\mathbf{p}$  take the following  
 170 form;

$$\begin{aligned} \mathbf{x}(t) &= (S(t), E(t), I(t), R(t)), \\ f(\mathbf{x}, \mathbf{p}) &= \left(-S \left(\beta \frac{I}{N} + \beta_E \frac{E}{N}\right), S \left(\beta \frac{I}{N} + \beta_E \frac{E}{N}\right) - kE, kE - (\nu + \alpha)I, \alpha I\right), \\ \mathbf{p} &= (\beta, \beta_E, k, \nu, \alpha). \end{aligned}$$

172 Similarly, for model (3.2),

$$\begin{aligned} \mathbf{x}(t) &= (S(t), S_d(t), E(t), I(t), R(t)) \\ f(\mathbf{x}, \mathbf{p}) &= \left(-S \left(\beta \frac{I}{N} + \beta_E \frac{E}{N}\right) - \eta S + \rho S_d - S_d \left(\beta \delta \frac{I}{N} + \beta_E \delta_E \frac{E}{N}\right) - \rho S_d + \eta S, \right. \\ & \left. S \left(\beta \frac{I}{N} + \beta_E \frac{E}{N}\right) + S_d \left(\beta \delta \frac{I}{N} + \beta_E \delta_E \frac{E}{N}\right) - kE, kE - (\nu + \alpha)I, \alpha I\right) \\ \mathbf{p} &= (\beta, \beta_E, k, \nu, \alpha, \eta, \delta, \delta_E, \rho). \end{aligned}$$

The observations are the functions of the state variables. Let  $y(t) = (y_1(t), y_2(t))$  represent the observations, then

$$y_1(t) = g_1(\mathbf{x}(t), \mathbf{p}), \quad y_2(t) = g_2(\mathbf{x}(t), \mathbf{p}),$$

174 Since we use number of new cases and deaths due to COVID-19, we set the observations as

$$(4.1) \quad \begin{aligned} y_1(t) &= g_1(x(t), \mathbf{p}) = kE \quad \text{new incidences per day,} \\ y_2(t) &= g_2(x(t), \mathbf{p}) = \nu I \quad \text{number of deaths due to COVID-19 per day} \end{aligned}$$

176 Next, we formulate the statistical model as

$$(4.2) \quad Y_1^i = g_1(x(t_i), \mathbf{p}) + E_i \quad i = 1, \dots, n \quad Y_2^j = g_2(x(t_j), \mathbf{p}) + E_j \quad j = 1, \dots, n$$

where  $E_i$  and  $E_j$  are errors in measurement. Because of the measurement errors the data (random variables)  $Y_1$  and  $Y_2$  do not fall on the smooth path of the observations  $g_1(x(t_i), \mathbf{p})$  and  $g_2(x(t_j), \mathbf{p})$ . We assume the measurement errors satisfy the following relationship;

$$E_i = g_1(x(t_i), \mathbf{p})\epsilon_i \quad E_j = g_2(x(t_j), \mathbf{p})\epsilon_j$$

where  $\epsilon_i$  and  $\epsilon_j$  are independent and identically distributed with mean zero and constant variance  $\sigma_0^2$ . With this setting, the random variables  $Y_1$  and  $Y_2$  are assumed to have mean as the observations, that is

$$\mathbb{E}(Y_1) = g_1(x(t_i), \mathbf{p}) \quad i = 1, \dots, n \quad \text{and} \quad \mathbb{E}(Y_2) = g_2(x(t_j), \mathbf{p}) \quad j = 1, \dots, n$$

and the variances of the random variables  $Y_1$  and  $Y_2$  would be

$$Var(Y_1) = g_1(x(t_i), \mathbf{p})^2 \sigma_0^2 \quad i = 1, \dots, n \quad \text{and} \quad Var(Y_2) = g_2(x(t_j), \mathbf{p})^2 \sigma_0^2 \quad j = 1, \dots, n$$

178 To estimate the model parameters, we minimize the sum of the squared differences between  
 179 the model predictions and the measurements, where  $\omega_1$  and  $\omega_2$  represent the weights for the  
 180 least squares.

$$181 \quad (4.3) \quad \hat{p} = \min_p \sum_{i=1}^n (\omega_1(Y_1^i - g_1(x(t_i), \mathbf{p}))^2 + \omega_2(Y_2^i - g_2(x(t_i), \mathbf{p}))^2) \quad \text{subject to} \quad p > 0$$

182 The MATLAB function `fminsearchbnd` computes this above constraint optimization  
 183 problem (4.3). The system of ODEs in the epidemiological model is solved using the MATLAB  
 184 `ode15s` function.

185 **4.3. Identifiability Analysis.** Before estimating the model parameters, we first determine  
 186 whether it is possible to uniquely asses the parameters from the given data. This process  
 187 is called identifiability analysis and it is an important step in estimating model parameters  
 188 [8]. The identifiability analysis is usually performed in two steps: first step is the structural  
 189 identifiability and the second step is the practical identifiability. Structural identifiability is  
 190 the property of the epidemic model, and it assumes noise-free data. In structurally identi-  
 191 fiability analysis, the objective is to determine whether the model is structured to reveal the  
 192 parameter estimates. Practical identifiability then is performed with actual data which is  
 193 contaminated with noise. If an epidemic model is not structurally identifiable, then different  
 194 sets of parameters would give the same output. In such a case, when the epidemic model is  
 195 structurally unidentifiable, the estimated parameters would be unreliable and thus one should  
 196 be cautious in using the model to forecast the future cases or the determine the intervention  
 197 strategies.

198 **Structural Identifiability Analysis:** To study the structural identifiability analysis  
 199 of the model, we first eliminate the unobserved state variables and obtain a set of monic  
 200 differential polynomial which only involves the observations. We eliminate the unobserved  
 201 state variables using DAISY [1] and obtain the input-output equations as presented in the  
 202 Appendix 6.

The input-output equations are monic differential polynomials whose coefficients consist of model parameters. We see from the first equation in (.1) that

$$\frac{dy_2}{dt} - y_1\nu + y_2(\nu + \alpha) = 0,$$

203 it is a monic polynomial since the coefficient of the highest term is 1. To obtain a monic  
 204 polynomial from the second equation in (.1), we divide by the term  $\beta^2\nu^2$  all the other coeffi-  
 205 cients. Let  $c(\mathbf{p})$  denote the complete set of coefficients in (.1). We say that an epidemic model  
 206 is structurally identifiable, if the mapping from parameter space into  $c(\mathbf{p})$  is one-to-one. We  
 207 define an epidemic model to be structurally identifiable as follows [16],

**Definition 4.1.** Let  $c(\mathbf{p})$  denote the coefficients of the input-output equations (.1), with  $\mathbf{p} = (\beta, \beta_E, k, \nu, \alpha)$  denoting the parameters of the epidemic model (3.1). Then we say that the epidemic model (3.1) is structured to reveal its parameters from the observations (4.1) if and only if

$$c(\mathbf{p}) = c(\hat{\mathbf{p}}) \implies \mathbf{p} = \hat{\mathbf{p}}$$

Based on this definition, we solve in Mathematica

$$c(\mathbf{p}) = c(\hat{\mathbf{p}})$$

where  $\hat{\mathbf{p}} = (\hat{\beta}, \hat{\beta}_E, \hat{k}, \hat{\nu}, \hat{\alpha})$  and obtain

$$\beta = \hat{\beta}, \beta_E = \hat{\beta}_E, k = \hat{k}, \nu = \hat{\nu}, \alpha = \hat{\alpha}.$$

208 That is we showed that the epidemic model (3.1) is structured to reveal its parameters uniquely  
 209 from the observations of daily incidences and deaths. The results from conducting structural  
 210 identifiability analysis is shared in section 5.1.

211 To perform the identifiability analysis for the second epidemic model (3.2), we first need  
 212 to eliminate the unobserved state variables and obtain the input-output equations. We used  
 213 DAISY to obtain the input-output equations, but DAISY was unable to compute input-output  
 214 equations due to computational limitations. Therefore, for the second epidemic model (3.1)  
 215 we only perform practical identifiability analysis as outlined in the following section.

216 **Practical Identifiability Analysis:** Structural identifiability is a property of the epi-  
 217 demic model itself and it is performed without the actual data. It is based on the observations,  
 218 which include the data points at the discrete points, and observations are smooth continuous  
 219 functions. That is Structural identifiability assumes that the data are noise free, and the  
 220 output is known for every point in time. A model which is structurally identifiable might  
 221 not be practically identifiable when actual data are used to estimate the parameters. Next  
 222 we perform practical identifiability analysis using Monte Carlo simulations. We present noise  
 223 into the observed data at increasing levels to produce 1000 synthetic data sets. Then, the true  
 224 parameter set  $\hat{\mathbf{p}}$ , obtained through curve-fitting, is used to refit the epidemiological model to  
 225 the new synthetic data, observing how the parameter values react in response to the noise. In  
 226 this project, noise was presented to the data collected from the Florida Department of Health  
 227 each week during the 12-week period and the model was refit 1,000 times at each error level  
 228 (0%, 1%, 5%, 10%, and 20% error). Monte Carlo Simulations are performed in this project in  
 229 the below steps [18].

230

- 231 1. Obtain solutions of the model using the fitted values as the true parameter vector  $\hat{\mathbf{p}}$   
 232 and acquire the observation vectors  $\mathbf{g}_1(\mathbf{x}(t_i), \mathbf{p})$  and  $\mathbf{g}_2(\mathbf{x}(t_i), \mathbf{p})$  at the specific time  
 233 points of the data  $\{t_i\}_{i=1}^n$ .
2. Generate 1,000 artificial data sets from the statistical model (4.2) with an established  
 measurement error. The synthetic data set is generated from a normal distribution  
 with a mean that is the output vector obtained in step (1.) and a standard deviation  
 that is  $\sigma_0\%$  of the mean. Thus,

$$Y_i = g(\mathbf{x}(t_i), \hat{\mathbf{p}}) + g(\mathbf{x}(t_i), \hat{\mathbf{p}})\epsilon_i \quad i = 1, 2, \dots, n,$$

234 such that  $\mathbb{E}(\epsilon_1) = 0$  and  $\text{Var}(\epsilon_i) = \sigma_0^2$ . Therefore, the random variables  $y_i$  have a mean  
 235 of  $\mathbb{E}(y_i) = g(\mathbf{x}(t_i), \hat{\mathbf{p}})$  and a variance of  $\text{Var}(y_i) = g(\mathbf{x}(t_i), \hat{\mathbf{p}})^2 \sigma_0^2$ .

- 236 3. Fit the model  $\mathbf{x}'(t) = f(\mathbf{x}, \mathbf{p}) \quad \mathbf{x}(0) = \mathbf{x}_0$  to the synthetic data sets generated in Step  
 237 2 to approximate the parameters  $\mathbf{p}_j$  for  $j = 1, 2, \dots, 1,000$ . The model is fit as follows

$$\mathbf{p}_j = \min_{\mathbf{p}} \sum_{i=1}^n (\omega_1(Y_1^i - g_1(\mathbf{x}(t_i), \mathbf{p}))^2 + \omega_2(Y_2^i - g_2(\mathbf{x}(t_i), \mathbf{p}))^2)$$

- 238 4. Determine the average relative errors (AREs) in estimating the parameter values in  
 239 the set  $\mathbf{p}$  by the following

240 (4.4) 
$$ARE(p^{(k)}) = 100\% \frac{1}{M} \sum_{j=1}^M \frac{|\hat{\mathbf{p}}^{(k)} - \mathbf{p}_j^{(k)}|}{\hat{\mathbf{p}}^{(k)}}$$

241 where  $p^{(k)}$  is the  $k^{th}$  parameter of the set  $\mathbf{p}$ ,  $p_j^k$  is the  $k^{th}$  parameter of set  $p_j$  and  $\hat{\mathbf{p}}^{(k)}$   
 242 is the  $k^{th}$  parameter of set  $\hat{\mathbf{p}}$  of genuine parameters.

- 243 5. Repeats steps (1) - (5) for each level of noise  $\sigma_0 = (0\%, 1\%, 5\%, 10\%, \text{ and } 20\%)$ .

244  
 245 By computing the average relative errors (ARE) in parameter estimation in step (4.),  
 246 we can observe how the parameter values react to the introduced noise. When no noise is  
 247 introduced into the data  $\sigma_0 = (0\%)$ , the ARE of the epidemiological parameters in a model  
 248 that is structurally identifiable should be equal to or almost 0. As the noise in the dataset  
 249 increases, the ARE of the parameters should respectively increase, and the rate of increased  
 250 error will determine the practical identifiability of the model. If a parameter in the model  
 251 is sensitive to measurement error, the parameter will have a significant ARE for a moderate  
 252 level of noise in the data, and we then consider that parameter as practically unidentifiable.  
 253 To have practically identifiable parameters, we analyze the Monte Carlo Simulations for ARE  
 254 values that are reasonable for the errors in measurement.

255 In this project, the steps to perform Monte Carlo simulations are repeated with each  
 256 increasing level of error in data, and the epidemiological model is re-fit 1,000 times at each  
 257 error level. The average relative errors (AREs) for each parameter estimation are recorded as  
 258 results. By analyzing the ARE in parameter estimation in comparison to the level of noise in  
 259 the data, the practical identifiability of the model and the reliability of each parameter value  
 260 estimation can be measured. Parameters that demonstrate high levels of average relative error  
 261 at increasing noise levels are likely to be unreliable estimations and having many unreliable  
 262 parameter estimations makes a model maintain weak identifiability.

263 **5. Results.**

264 **5.1. Structural Identifiability Results.** As described in Section 4.3, DAISY is used to  
 265 study the structural identifiability of the model. We summarize the result from analyzing the  
 266 structural identifiability of (3.1) in the following Proposition 5.1.

267 **Proposition 5.1.** *The epidemic model (3.1) is structurally identifiable from daily incidences*  
 268 *and deaths.*

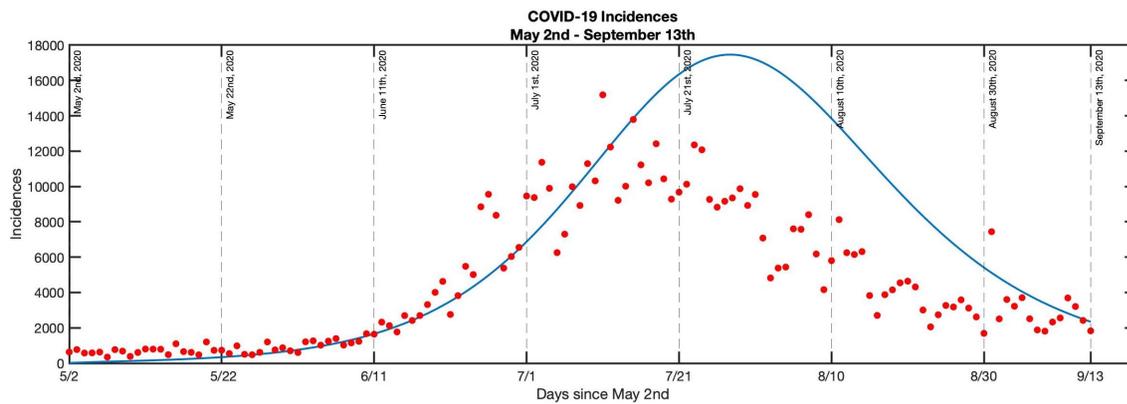
269 **5.2. SEIR Model Fitting Results.** In the weeks after May 25th, the lockdown period  
 270 in Florida ended and individuals began to leave their homes and the social distancing rate  
 271 was significantly lowered compared to the first 6 weeks of data collection. Thus, the social  
 272 distancing model is no longer appropriate to model the behavior of the disease starting from  
 273 the week of June 1st. From June 1st to September 13th, data was still collected from the  
 274 Florida Department of Health but was combined to fit all of the data starting from the week of  
 275 June 1st with the regular SEIR model (3.1) (non-social distancing). The curve-fitting process  
 276 was similar to the process with the first 6 weeks, just with the SEIR model. The parameter  
 277 values that best curve-fit to the non-social distancing model are the values that minimize  
 278 the sum of the squared differences between the model predictions and the data as shown by  
 279 (4.3). For this fit, the data included the number of daily COVID-19 infections and disease-  
 280 induced deaths reported by the Florida Department of Health beginning from May 2nd until  
 281 September 13th. Since the Florida Department of Health reports the number of COVID-19  
 282 infections and deaths for the past 30 days since the day of data collection, the first set of data  
 283 that was collected on June 1st begins from May 2nd. The results of this project include the  
 284 set of the 5 epidemiological parameter values that provided the best fit for the data collected  
 285 between June 1st and September 13th. Table 3 below lists the parameter values that best  
 286 established an accurate fitting of the non-social distancing model to the data starting from  
 287 June 1st according to the MATLAB computer software.

**SEIR Model Parameter Estimations**

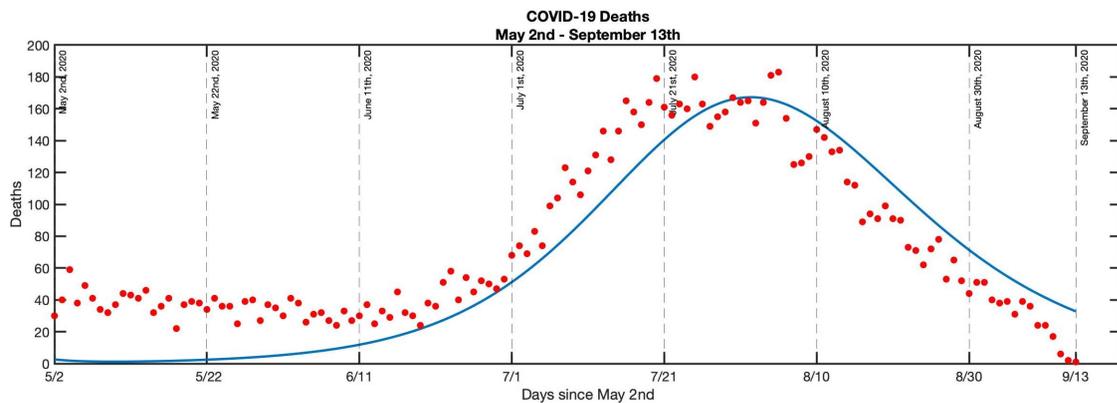
| Date               | $\beta$ | $\alpha$ | $\nu$  | $\beta_E$ | $k$    |
|--------------------|---------|----------|--------|-----------|--------|
| 05/2/20 - 09/13/20 | 0.0301  | 0.2000   | 0.0020 | 0.5277    | 0.5000 |

**Table 3**

*The parameters that best fit the epidemiological model for incidences and death data reported by Florida Department of Health. The fit is from May 2nd to September 13th.*



**Figure 3.** Plot of the epidemiological model (3.1) (blue line) fitted to the observed incidence data (red dots) from the Florida Department of Health from May 2nd to September 13th, after the lockdown period in Florida.



**Figure 4.** Plot of the epidemiological model (3.1) (blue line) fitted to the observed death data (red dots) from the Florida Department of Health from May 2nd to September 13th, after the lockdown period in Florida.

288 **5.3. SEIR Model Monte Carlo Simulation Results.** Similar to the social distancing mod-  
 289 els, Monte Carlo simulations are conducted on the non-social distancing SEIR model starting  
 290 from May 2nd to determine the identifiability of the epidemiological model and the reliability  
 291 of the parameter estimations. The true parameter values are provided in Table 3. The average  
 292 relative error for each parameter is presented with an increasing level of error in the data ( $r$ ).  
 293 If the average relative error value from the Monte Carlo Simulations is high, the parameter is  
 294 considered not identifiable. For example, looking at Table 4 we notice that as the error in data  
 295 increases, the average relative error in all 5 parameters increases very slowly: 20% error in the  
 296 data results in only 13.39% average relative error in  $\beta$  - the parameter with the highest average  
 297 relative error. This suggests that the parameter estimations from the non-social distancing  
 298 model are practically identifiable because the average relative errors in all 5 parameters are  
 299 controlled- many of the average relative errors in parameter estimation are close to 0% even  
 300 when there is 20% error in data.

Parameter Estimations

| r (error in data) | $\beta$ | $\alpha$ | $\nu$ | $\beta_E$ | $k$  |
|-------------------|---------|----------|-------|-----------|------|
| 0%                | 0       | 0        | 0     | 0         | 0    |
| 1%                | 0.86    | 0.08     | 0.12  | 0.10      | 0.05 |
| 5%                | 4.03    | 0.36     | 0.49  | 0.45      | 0.19 |
| 10%               | 7.85    | 0.78     | 0.91  | 0.87      | 0.31 |
| 20%               | 13.39   | 1.38     | 1.42  | 1.52      | 0.46 |

Table 4

Average Relative Errors (AREs) in parameter estimation for errors of 0%, 1%, 5%, 10%, 20% for data from June 1st to September 21st are shown in the table above. The AREs are calculated by formula 4.4

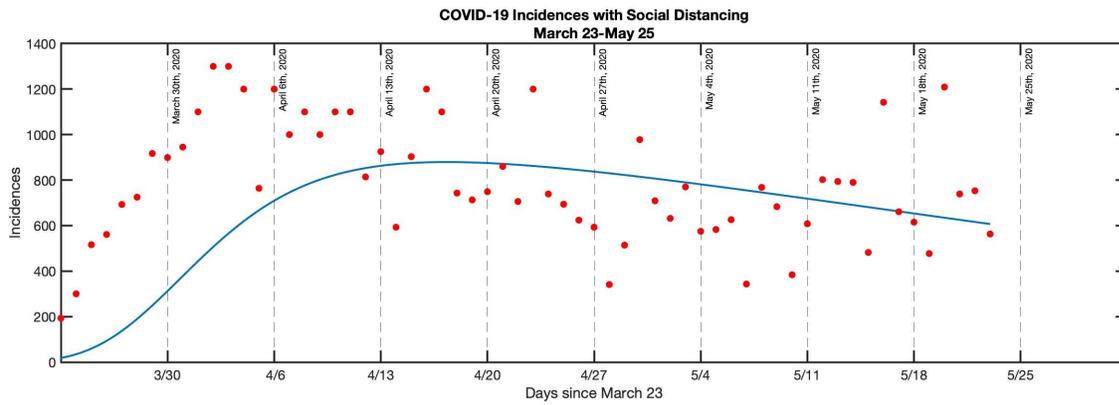
301 **5.4. Social Distancing Model Parameter Estimation results.** By curve-fitting the epi-  
 302 demiological model to the weekly COVID-19 data from the Florida Department of Health,  
 303 the parameter values that establish the best fit between the model and the data can be deter-  
 304 mined. The parameter values that best curve-fit to the model are the values that minimize  
 305 the sum of the squared differences between the model predictions and the data as expressed  
 306 by 4.3. The results of this project include the set of the 9 epidemiological parameter values  
 307 that provided the best fit for each week, to observe the evolution of certain parameters in the  
 308 epidemiological model as more data are collected each week. Table 5 below lists the parameter  
 309 values that best established an accurate fitting to the data for each week according to the  
 310 MATLAB computer software.

| Date                                  | $\beta$ | $\eta$ | $\delta$ | $\alpha$ | $\nu$  | $\rho$ | $\beta_E$ | $\delta_E$ | $k$    |
|---------------------------------------|---------|--------|----------|----------|--------|--------|-----------|------------|--------|
| Before the Peak<br>(3/23/20 – 4/5/20) | 0.0040  | 0.4014 | 0.1495   | 0.1960   | 0.0088 | 0.0530 | 1.6001    | 0.1635     | 0.3957 |
| At the Peak<br>(3/23/20 - 4/20/20)    | 0.0040  | 0.3977 | 0.1386   | 0.2000   | 0.0077 | 0.0531 | 1.5982    | 0.1615     | 0.3935 |
| After the Peak<br>(3/23/20 - 5/25/20) | 0.1922  | 0.2654 | 0.4564   | 0.0952   | 0.0054 | 0.0059 | 1.4122    | 0.0083     | 0.1976 |

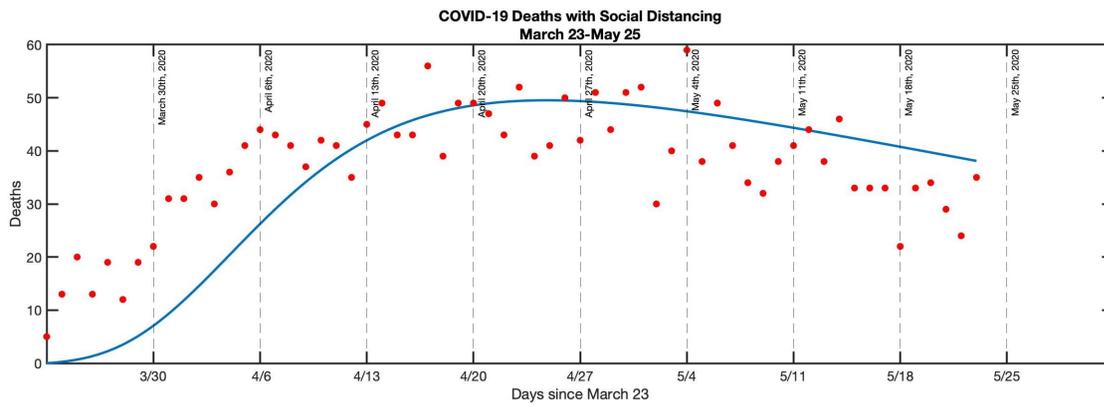
Table 5

The parameters that best fit the epidemiological model (3.2) for incidences and death data reported by Florida Department of Health. Each fit starts from March 23rd and ends on the date specified in the table.

311 **5.5. Figures.** The figures shown below show the evolution of the epidemiological model  
 312 (blue) in comparison to the observed data (red dots).



**Figure 5.** Plot of the epidemiological model (3.2) (blue line) fitted to the observed incidence data from the Florida Department of Health (red dots).



**Figure 6.** Plot of the epidemiological model (3.2) (blue line) fitted to the observed death data from the Florida Department of Health (red dots).

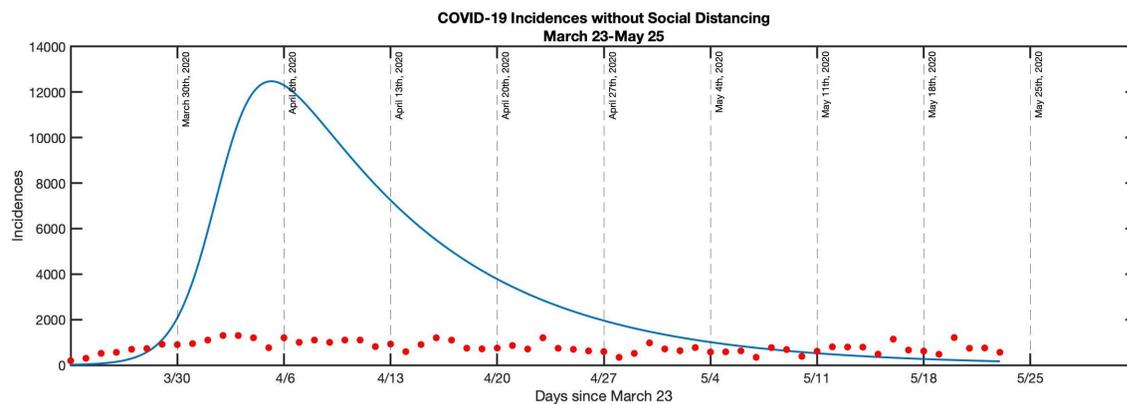


Figure 7. Plot of the epidemiological model (3.1) (blue line) simulating what COVID-19 incidence rates would have looked like without social distancing compared to the observed death data from the Florida Department of Health (red dots).

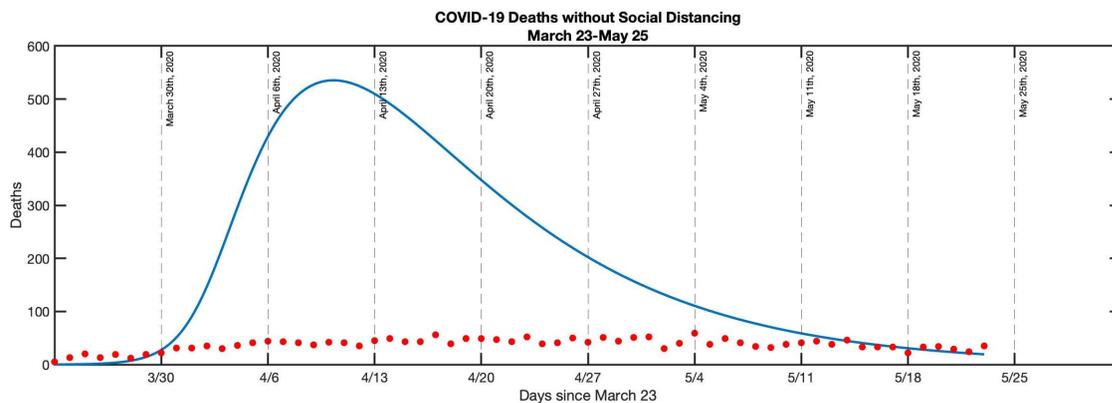


Figure 8. Plot of the epidemiological model (3.1) (blue line) simulating what COVID-19 death rates would have looked like without social distancing compared to the observed death data from the Florida Department of Health (red dots).

313 **5.6. Social Distancing Model Monte Carlo Simulation Results.** By conducting Monte  
 314 Carlo simulations, the identifiability of the epidemiological model can be measured, and the  
 315 reliability of the parameter estimations can be determined [17]. The true parameter values  
 316 are provided in Table 5. The average relative error for each parameter is presented with an  
 317 increasing level of error in the data ( $r$ ). If the average relative error value from the Monte  
 318 Carlo Simulations is high, the parameter is considered not identifiable. For example, looking  
 319 at Table 7, we observe that as the error in data increases, the average relative error in  $\delta$   
 320 increases very rapidly; 20% error in the data results in 111% average relative error in  $\delta$ . This  
 321 suggests that  $\delta$  is not practically identifiable. Compared to  $\beta$ , what is observed is that even as  
 322 the error in data increases to 20%, the average relative error in  $\beta$  remains controlled and is at  
 323 just 2% error, in Table 7. For the week of April 20th,  $\beta$  is considered practically identifiable;  
 324 the same cannot be concluded for  $\delta$ .

**Monte Carlo Simulation Before the Peak: 3/23/20 – 4/5/20**

| r (error in data) | $\beta$ | $\eta$ | $\delta$ | $\alpha$ | $\nu$ | $\rho$ | $\beta_E$ | $\delta_E$ | $k$   |
|-------------------|---------|--------|----------|----------|-------|--------|-----------|------------|-------|
| 0%                | 0.01    | 0      | 6.50     | 0.32     | 0.19  | 0.03   | 0         | 0          | 0     |
| 1%                | 0.34    | 0.64   | 27.17    | 0.92     | 0.58  | 0.49   | 0.15      | 1.62       | 0.50  |
| 5%                | 4.46    | 5.25   | 68.94    | 3.69     | 2.92  | 7.04   | 2.16      | 11.02      | 3.97  |
| 10%               | 16.94   | 11.83  | 103.21   | 7.37     | 6.05  | 17.83  | 5.19      | 21.28      | 7.73  |
| 20%               | 36.06   | 23.95  | 134.87   | 13.84    | 11.61 | 34.00  | 10.82     | 29.75      | 13.06 |

**Table 6**

Average relative errors in parameter estimation for errors of 0%, 1%, 5%, 10%, 20% before the peak (during first 14 days) are shown in the table above. The average relative errors are calculated by formula 4.4.

**Monte Carlo Simulation at the Peak: 3/23/20 – 4/20/20**

| r (error in data) | $\beta$ | $\eta$ | $\delta$ | $\alpha$ | $\nu$ | $\rho$ | $\beta_E$ | $\delta_E$ | $k$  |
|-------------------|---------|--------|----------|----------|-------|--------|-----------|------------|------|
| 0%                | 0.01    | 0.07   | 0.26     | 0.25     | 0.14  | 0.03   | 0.02      | 0.16       | 0.08 |
| 1%                | 0.03    | 0.26   | 4.26     | 0.48     | 0.46  | 0.23   | 0.07      | 0.51       | 0.16 |
| 5%                | 0.44    | 2.79   | 47.89    | 1.89     | 2.34  | 3.63   | 1.00      | 5.90       | 1.66 |
| 10%               | 1.81    | 6.18   | 86.21    | 4.63     | 5.28  | 9.34   | 2.77      | 14.01      | 3.60 |
| 20%               | 2.45    | 12.68  | 111.22   | 10.17    | 11.02 | 20.49  | 6.58      | 26.36      | 6.39 |

**Table 7**

Average relative errors in parameter estimation for errors of 0%, 1%, 5%, 10%, 20% at the peak (during the week of April 20th) are shown in the table above. The average relative errors are calculated by formula 4.4.

**Monte Carlo Simulation After the Peak: 3/23/20 – 5/25/20**

| r (error in data) | $\beta$ | $\eta$ | $\delta$ | $\alpha$ | $\nu$ | $\rho$ | $\beta_E$ | $\delta_E$ | $k$   |
|-------------------|---------|--------|----------|----------|-------|--------|-----------|------------|-------|
| 0%                | 0       | 0      | 0        | 0        | 0     | 0      | 0         | 0          | 0     |
| 1%                | 0.80    | 1.33   | 2.16     | 0.63     | 0.56  | 5.82   | 0.80      | 24.45      | 2.15  |
| 5%                | 2.83    | 4.72   | 7.19     | 3.03     | 2.71  | 18.92  | 3.06      | 69.55      | 7.56  |
| 10%               | 5.29    | 8.64   | 11.32    | 6.26     | 5.62  | 30.65  | 5.78      | 101.72     | 13.23 |
| 20%               | 9.75    | 13.46  | 18.64    | 12.61    | 11.27 | 47.64  | 8.91      | 177.70     | 21.04 |

**Table 8**

Average relative errors in parameter estimation for errors of 0%, 1%, 5%, 10%, 20% after the peak (during the week of May 25th) are shown in the table above. The average relative errors are calculated by formula 4.4.

325 In Table 9, the Monte Carlo Simulation results from Tables 6-8 are summarized in terms  
 326 of the practical identifiability of the parameters in the model each week. Checkmarks are  
 327 used to indicate the parameters that were found to be identifiable for each week. From the  
 328 table, one can conclude that  $\delta$  and  $\delta_E$  were definitely not identifiable in this study because  
 329 the average relative errors were extremely high for the individual Monte Carlo simulations  
 330 (Tables 6-8). Furthermore,  $\rho$  was found to be unidentifiable in this study. The parameters  $\beta$   
 331 and  $\eta$  were not identifiable before the peak in the data, and  $k$  was not identifiable after the  
 332 peak in the data. The rest of the parameters in the model were considered identifiable.

**Summary of Practical Identifiability Analysis**

| Date                               | $\beta$ | $\eta$ | $\delta$ | $\alpha$ | $\nu$ | $\rho$ | $\beta_E$ | $\delta_E$ | $k$ |
|------------------------------------|---------|--------|----------|----------|-------|--------|-----------|------------|-----|
| Before the Peak (3/23/20 – 4/5/20) |         |        |          | ✓        | ✓     |        | ✓         |            | ✓   |
| At the Peak (3/23/20 - 4/20/20)    | ✓       | ✓      |          | ✓        | ✓     |        | ✓         |            | ✓   |
| After the Peak (3/23/20 - 5/25/20) | ✓       | ✓      |          | ✓        | ✓     |        | ✓         |            |     |

**Table 9**

A summary of tables 6-8 is displayed in the table above. Checkmarks indicate the sections of data for which the parameter was found to be practically identifiable, which can be concluded by observing how rapidly the average relative error increases with respect to the error in data each week (Tables 6-8).

333 **5.7. Effectiveness of Social Distancing Results.** The results of project serve to demon-  
 334 strate the efficiency of social distancing in preventing the spread of the COVID-19 disease. By  
 335 taking the total of the weekly incidences and deaths from the Florida Department of Health,  
 336 a comparison can be conducted between the actual data and the predicted numbers from  
 337 the non-social distancing model (3.1), which represent the spread of the COVID-19 disease if  
 338 there was no social distancing enforced, which leads to a greater number of contacts between  
 339 individuals. By quantifying the number of infected individuals and disease-induced deaths  
 340 that would have occurred if social distancing did not take place, the number of lives saved and  
 341 the number of prevented incidences can be measured. As outlined in Table 10 below, in the  
 342 first 6 weeks of data collection, an average of 184,586 COVID-19 incidences were prevented  
 343 and 8,588 lives were saved from the efficiency of social distancing guidelines. These results  
 344 suggest that social distancing plays a crucial role in preventing incidences and disease-induced  
 345 deaths.

| Date  | 4/20/20 | 4/27/20 | 5/4/20  | 5/11/20 | 5/18/20 | 5/25/20 |
|---|---------|---------|---------|---------|---------|---------|
| Total of Weekly Incidences (FDOH Data)          | 25,316  | 31,141  | 25,832  | 22,426  | 21,088  | 20,449  |
| Total of Weekly Deaths (FDOH Data)              | 852     | 1,212   | 1,107   | 1,164   | 1,112   | 953     |
| Total of Predicted Weekly Incidences (Model 1)  | 206,124 | 209,990 | 208,447 | 209,755 | 209,456 | 209,976 |
| Total of Predicted Weekly Deaths (Model 1)      | 7,753   | 9,107   | 9,408   | 10,320  | 10,076  | 11,262  |
| Total Incidences prevented by Social Distancing | 180,808 | 178,849 | 182,615 | 187,349 | 188,368 | 189,527 |
| Total Deaths prevented by Social Distancing     | 6,901   | 7,895   | 8,301   | 9,156   | 8,964   | 10,309  |

**Table 10**

Total weekly incidences/deaths in comparison to the non-social distancing model predictions

346 **5.8. Time dependent  $\beta$ .** From the results in Table 4, it can be concluded that the *SEIR*  
 347 model is structurally identifiable. However, from Figure 3 and 4 it is observed that the model  
 348 does not match the peak of the incidence data, and the model predicted deaths are much  
 349 lower than the data at the beginning. The reason why the model does not provide an exact  
 350 fit may be attributed to the fact that people’s behaviors change over time, which influences  
 351 disease spread, and it is not possible to reflect those behavioral changes with a constant  
 352 transmission rate. An improved model may consider that the prevalence of social distancing  
 353 fluctuates as people’s behaviors change in time. For example, it is common to see large social  
 354 gatherings during holiday seasons, which increases the likelihood for disease transmission in

355 the population. The best way to incorporate this in the epidemiological model is to have  $\beta$ ,  
 356 the transmission rate, change in time. The approach to have  $\beta$  change in time was inspired  
 357 by the approach presented in [19]. This time dependent transmission rate is represented by  
 358  $\beta(t)$ , where  $\beta(t)$  is the transmission rate at time  $t$ .

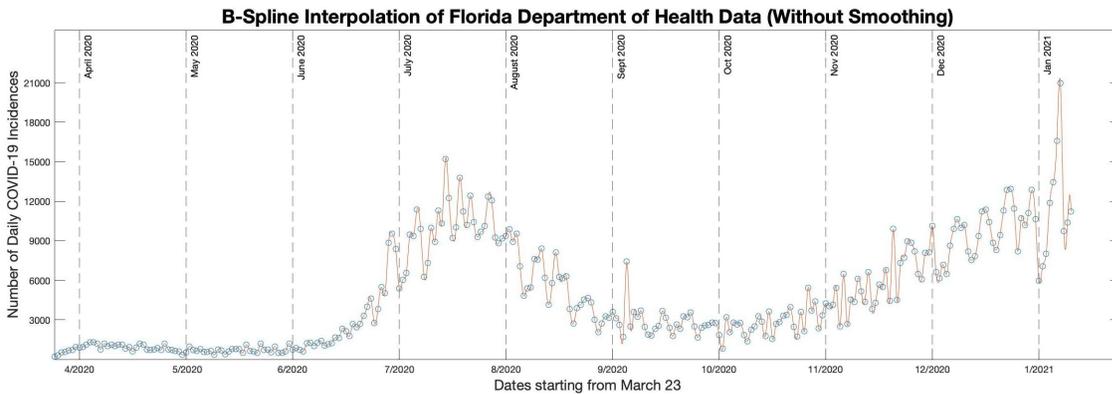
359 In this project, the value of  $\beta(t)$  is derived from the COVID-19 data collected from the  
 360 Florida Department of Health. In the model, daily COVID-19 incidences are given by

$$y_1(t) = kE$$

361 Taking the derivative gives

$$\begin{aligned}
 y_1'(t) &= k \left( \beta(t)S \left( \frac{I}{N} + q \frac{E}{N} \right) - kE \right) \\
 y_1'(t) &= k \left( \beta(t)S \left( \frac{I}{N} + q \frac{E}{N} \right) - y_1(t) \right) \\
 (5.1) \quad y_1'(t) + ky_1 &= k\beta(t)S \left( \frac{I}{N} + q \frac{E}{N} \right) \\
 \beta(t) &= \frac{y_1'(t) + ky_1}{kS \left( \frac{I}{N} + q \frac{E}{N} \right)}.
 \end{aligned}$$

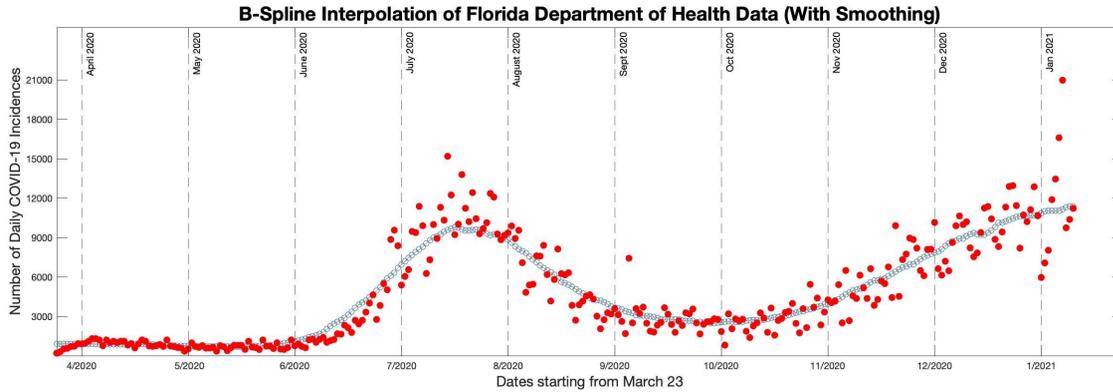
363 In order to determine  $y_1'$ , the derivative of the data, the data are smoothed and then  
 364 interpolated with B-splines. The data are interpolated with B-splines because the data consists  
 365 of discrete time points (the Florida Department of health reports new daily COVID-19  
 366 infections and disease-induced deaths). Without smoothing the data, the derivative is difficult  
 367 to determine because of the amount of noise in the epidemiological data. A plot of B-spline  
 368 interpolation of the data without smoothing is given in Figure 9.



**Figure 9.** The data from the Florida Department of Health is interpolated without being smoothed. In this case, it is difficult to determine the derivative  $y_1'$  because of the amount of noise in the data.

369 As seen in Figure 9, it is not ideal to determine the derivative  $y_1'$  from the original interpo-  
 370 lated data. To make it easier to compute the derivative, the data are smoothed to account for

371 the noise in the epidemiological data. The data are smoothed using the `smoothdata` function  
 372 in MATLAB. A plot of the B-spline interpolated data after smoothing is given in Figure 10.



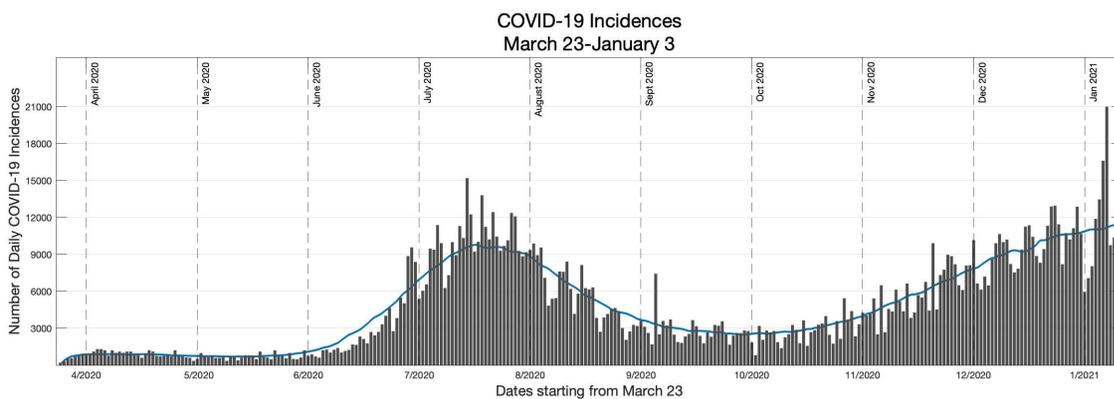
**Figure 10.** Interpolation of data from the Florida Department of Health after being smoothed. By smoothing the data, the amount of noise in the data are reduced, making it easier to determine the derivative  $y'_1$ .

373 In this project,  $y'_1$  and  $kE$  come from the data collected from the Florida Department of  
 374 Health. To get the derivative  $y'_1$  the epidemiological data must be first smoothed and then  
 375 interpolated with B-splines to take the derivative. After determining the derivative  $y'_1$ , we  
 376 can express  $\beta(t)$  as the transmission rate of the disease at time  $t$ . This is demonstrated in the  
 377 rewritten SEIR model with a time dependent transmission rate as below.

$$\begin{aligned}
 S' &= -\beta(t)S \left( \frac{I}{N} + q \frac{E}{N} \right), \\
 E' &= \beta(t)S \left( \frac{I}{N} + q \frac{E}{N} \right) - kE, \\
 I' &= kE - (\alpha + \nu)I, \\
 R' &= \alpha I.
 \end{aligned}$$

378 (5.2)

379 Using model (5.2), one can predict COVID-19 cases in Florida from March 23rd over a  
 380 prolonged period of time while accounting for behavioral changes in the population that may  
 381 influence the rate of disease transmission. The model predicts very well, as shown in figure 11  
 382 below. In this plot, the gray bars represent epidemiological data from the Florida Department  
 383 of Health, and the blue curve is the model prediction from model (5.2). In this model, the  
 384 value of  $\beta(t)$  is determined from the data, which a recipe is provided for (5.1), and the values  
 385 of the other parameters, including  $k$ ,  $\alpha$ , and  $\nu$ , are determined from the curve-fitting process.  
 386 Since typical SEIR models have a constant value for  $\beta$ , those models can only predict 1 wave  
 387 of the disease. However, because model (5.2) uses a time dependent  $\beta$  value, the second wave  
 388 of the disease in Florida can also be predicted, which is shown in figure 11.



**Figure 11.** Plot of the epidemiological model with a time dependent transmission rate (5.2) (blue line) fitted to the data from the Florida Department of Health representing the number of daily COVID-19 incidences from March 23rd to January 3rd.

389 **6. Discussion.** The novel coronavirus (COVID-19) has made a broad impact as a global  
 390 pandemic, spreading to over 210 countries and leading to over 131 million confirmed cases and  
 391 2.85 million deaths (as of April 2021) [14]. In order to effectively implement control measures  
 392 to prevent the spread of the disease, it is crucial to forecast the behavior of the disease spread  
 393 and determine the epidemiological characteristics of the disease. We utilize an SEIR model  
 394 to determine epidemiological characteristics such as the rate of infection, incubation period,  
 395 and rate of recovery for the COVID-19 disease in the state of Florida according to incidence  
 396 and disease-induced death data from the Florida Department of Health.

397 During the COVID-19 pandemic, one major public health guideline advertised by the CDC  
 398 was "social distancing", which consists of non-pharmaceutical control measures to prevent the  
 399 spread of the disease by maintaining a physical distance (at least 6 feet) between individuals  
 400 and limiting the number of person-to-person contacts. By developing a social distancing model  
 401 to represent the disease spread, we can quantify the efficiency of social distancing in mitigating  
 402 transmission of the disease and simulate the effect on the disease spread if there was no social  
 403 distancing in the population. However, because the Monte Carlo Simulations of the social  
 404 distancing model established high average relative errors in parameter estimation, the social  
 405 distancing model was found to be not practically identifiable, suggesting that the model is not  
 406 good at forecasting the outbreak and should not be used to determine control measures to  
 407 slow the spread of the disease. To quantify the effectiveness of social distancing, we calculate  
 408 the difference between the predicted weekly incidences and deaths and the weekly sum of the  
 409 Florida Department of Health data to determine how many incidences were prevented and  
 410 how many lives were saved by social distancing. We found that an average of about 185,000  
 411 weekly COVID-19 incidences were prevented and an average of about 8,500 lives were saved  
 412 because of the effectiveness of social distancing, between the weeks of April 20th and May  
 413 25th. This suggests the benefit of implementing non-pharmaceutical control measures such as  
 414 social distancing in an effort to mitigate disease transmission.

415 Because the lockdown period ended in Florida in the weeks starting from June 1st, we  
 416 introduce a different model to simulate the disease spread without social distancing, the

417 typical SEIR model. With low average relative errors in the Monte Carlo Simulation, the  
418 SEIR model was found to be both structurally and practically identifiable, increasing the  
419 confidence in model predictions and the confidence in using the SEIR model to determine  
420 possible control measures in an effort to slow the disease spread. Since the SEIR model was  
421 structurally identifiable, we provided a recipe on how to determine  $\beta(t)$ , the transmission rate  
422 dependent on time, using incidence data from the Florida Department of Health. However,  
423 we have not investigated the identifiability of the time-dependent  $\beta(t)$ .

424 Mathematical modeling of infectious diseases is crucial in understanding the epidemiolog-  
425 ical characteristics of diseases, advising the implementation of disease control measures, and  
426 forecasting the spread of the disease. To incorporate the time-dependent transmission rate,  
427 which is derived from the data, in disease forecasting, the disease can only be forecasted for  
428 a short period of time without data in the future. The value that is found as  $\beta(t)$  for the end  
429 of the data collection period can be assumed to be constant for the next following week. It is  
430 not advised to predict ahead of that because people's behaviors might change later in time.  
431 However, shortly in the future (such as within the next week), people's behaviors may linger.  
432 Another application of the model is to analyze vaccination efforts, in a manner as presented  
433 by Glenn Webb [6]. The effectiveness of vaccination efforts can be measured by modifying the  
434 SEIR model to include a vaccinated class, and then a time-dependent vaccination rate can  
435 be determined following a similar methodology as presented here to find the time-dependent  
436 transmission rate. This can be used to measure the effectiveness of vaccination efforts in a  
437 population for a short period of time. Future directions include using the model with the  
438 time-dependent transmission rate to analyze the vaccination efforts in Florida.

439

**Appendix.**

440 Input output equation of SEIR model (3.1)

(.1)

$$\begin{aligned}
 0 &= \frac{dy_2}{dt} - y_1\nu + y_2(\nu + \alpha) \\
 0 &= \frac{d^3y_1}{dt^3} \frac{dy_1}{dt} y_1^2 \beta^2 \nu^2 + \frac{d^3y_1}{dt^3} \frac{dy_1}{dt} y_1 y_2 \beta \nu k (2\beta_E - \nu) + \frac{d^3y_1}{dt^3} \frac{dy_1}{dt} y_2^2 \beta_E k^2 (\beta_E - \nu) + \frac{d^3y_1}{dt^3} y_1^3 \beta^2 \nu^2 k \\
 &+ \frac{d^3y_1}{dt^3} y_1^2 y_2 \beta \nu k^2 (2\beta_E - \nu) + \frac{d^3y_1}{dt^3} y_1 y_2^2 \beta_E k^3 (\beta_E - \nu) - \frac{d^2y_1}{dt^2} y_1^2 \beta^2 \nu^2 + 2 \frac{d^2y_1}{dt^2} y_1 y_2 \beta \nu k (-\beta_E + \nu) \\
 &+ \frac{d^2y_1}{dt^2} y_2^2 \beta_E k^2 (-\beta_E + 2\nu) - 2 \frac{d^2y_1}{dt^2} \frac{dy_1}{dt} y_1 \beta^2 \nu^2 + \frac{d^2y_1}{dt^2} \frac{dy_1}{dt} y_2 \beta \nu k (-2\beta_E - \nu) + \frac{d^2y_1}{dt^2} \frac{dy_1}{dt} y_1^2 \beta \nu^2 k (-4\beta - \beta_E + \nu) \\
 &+ \frac{d^2y_1}{dt^2} \frac{dy_1}{dt} y_1 y_2 \nu k (\beta \beta_E \nu + \beta \beta_E \alpha - 5\beta \beta_E k - \beta \nu^2 - \beta \nu \alpha + 3\beta \nu k - \beta_E^2 k - \beta_E \nu k) \\
 &+ \frac{d^2y_1}{dt^2} \frac{dy_1}{dt} y_2^2 \beta_E k^2 (\beta_E \nu + \beta_E \alpha - \beta_E k + \nu^2 + \nu \alpha + 3\nu k) + \frac{d^2y_1}{dt^2} y_1^3 \beta \nu^2 k^2 (-\beta_E + \nu) \\
 &+ \frac{d^2y_1}{dt^2} y_1^2 y_2 \nu k^2 (\beta \beta_E \nu + \beta \beta_E \alpha + \beta \beta_E k - \beta \nu^2 - \beta \nu \alpha - \beta_E^2 k - \beta_E \nu k) \\
 &+ \frac{d^2y_1}{dt^2} y_1 y_2^2 \beta_E k^3 (\beta_E \nu + \beta_E \alpha + \beta_E k + \nu^2 + \nu \alpha - \nu k) + 2 \frac{dy_1}{dt} \beta^2 \nu^2 + \frac{dy_1}{dt} y_1 \beta \nu^2 k (3\beta + 3\beta_E - \nu) \\
 441 &+ \frac{dy_1}{dt} y_2 \nu k (-4\beta \beta_E \nu - 4\beta \beta_E \alpha - \beta \beta_E k + \beta \nu^2 + \beta \nu \alpha - 2\beta \nu k - \beta_E^2 k + \beta_E \nu k) \\
 &+ \frac{dy_1}{dt} y_1^2 d^2 k (\beta \beta_E \nu + \beta \beta_E \alpha + 5\beta \beta_E k - \beta \nu k + 2\beta_E^2 k - \beta_E \nu k) \\
 &+ \frac{dy_1}{dt} y_1 y_2 \nu k (-\beta \beta_E \nu^2 - 2\beta \beta_E \nu \alpha - 7\beta \beta_E \nu k - \beta \beta_E \alpha^2 - 7\beta \beta_E \alpha k - 3\beta \beta_E k^2 + \beta \nu^2 k + \beta \nu \alpha k - 3\beta_E^2 \nu k - 3\beta_E^2 \alpha k - \\
 &+ \frac{dy_1}{dt} y_2^2 \beta_E k^2 (\beta_E \nu^2 + 2\beta_E \nu \alpha + \beta_E \nu k + \beta_E \alpha^2 + \beta_E \alpha k - \beta_E k^2 + \nu^2 k + \nu \alpha k + 2\nu k^2) \\
 &+ 2 \frac{dy_1}{dt} y_1^3 \beta_E \nu^2 k^2 (\beta \nu + \beta \alpha + \beta k + 2\beta_E k - \nu k) \\
 &+ \frac{dy_1}{dt} y_1^2 y_2 \beta_E \nu k^2 (-2\beta \nu^2 - 4\beta \nu \alpha - 3\beta \nu k - 2\beta \alpha^2 - 3\beta \alpha k - 6\beta_E \nu k - 6\beta_E \alpha k - 2\beta_E k^2 + 2\nu^2 k + 2\nu \alpha k) \\
 &+ \frac{dy_1}{dt} y_1 y_2^2 \beta_E k^3 (2\beta_E \nu^2 + 4\beta_E \nu \alpha + \beta_E \nu k + 2\beta_E \alpha^2 + \beta_E \alpha k + \nu^2 k + \nu \alpha k) \\
 &+ y_1^4 \beta_E \nu^2 k^3 (\beta \nu + \beta \alpha + 2\beta_E k - \nu k) + y_1^3 y_2 \beta_E \nu k^3 (-\beta \nu^2 - 2\beta \nu \alpha - \beta \alpha^2 - 3\beta_E \nu k - 3\beta_E \alpha k + \nu^2 k + \nu \alpha k) \\
 &+ y_1^2 y_2^2 \beta_E^2 k^4 (\nu^2 + 2\nu \alpha + \alpha^2).
 \end{aligned}$$

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